## United States Coast Guard Office of Navigation Systems



## Providing navigation safety information for America's waterways

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Program Analyst
U.S. Coast Guard Headquarters

Washington, DC

International Boat builders'
Exhibition and Conference (IBEX)
October 17th, 201I
Louisville, KY

## Automatic Identification System (AIS)

$\checkmark$ U.S. AIS History
r Regulations...Who? Where? When?

- AIS Update
$\checkmark$ Application Specific Messaging
- AIS @ www.navcen.uscg.gov
- Questions \& Answers


## AIS History \& Timeline



| IMO |  |  |
| :---: | :---: | :---: |
| MSC 74 (69) <br> Performance | ITU-R M.1371-1 <br> Technical | IEC 61993-2 <br>  <br> Certification |



## What started the USCG on AIS?

In 1990, Congress passed the Oil Pollution Act which participation in VTS mandatory and directed the USCG to seek ways to have
'dependent surveillance' of all tankers bound for Valdez, Alaska.

To that end, in 1993 the USCG developed Automated Dependent Surveillance Shipboard Equipment (ADSSE), based on Digital Selective Calling (DSC) protocol.

## Congress supports/mandates AIS!

In 1997, Congress...stated that AIS "technology should be the foundation of any future VTS system" and that it "strongly believes that this technology will significantly improve navigational safety, not just in select VTS target ports, but throughout the navigable waters of the U.S", and, that we "continue working with stakeholders..."
H.R. Rep. No. 236, 105th Cong., 1st Sess. (1997)

## Industry endorses AIS!

In 1999, the National Dialog Group, comprised of the marine private and public representatives, stated they:
"strongly endorse the widespread use of AIS employing dGPS and onboard transponder technologies...that national use of AIS technology on the greatest number of vessels is essential both as a foundation of a VTS system...improving navigation safety...strongly urge the USCG to take the lead...in developing equipment and procedural standards that will promote universal use of AIS technology", which will "be less intrusive and distracting to the mariner than will a voice-based control system..."

## AIS Timeline

## WRC'97 <br> AIS1 Ch.87B <br> AIS2 Ch.88B



| IMO |  |  |
| :---: | :---: | :---: |
| MSC 74 (69) <br> Performance | ITU-R M.1371-1 <br> Technical | IEC 61993-2 <br>  <br> Certification |

1990---------1994--------1997----1998----1999----2000----2001----2002---2003--2004


## Towards an AIS-based VTS

In an effort to facilitate vessel transits, enhance good order, promote safe navigation, and improve upon existing operating measures on the waterway. The USCG proposed to establish a Vessel Traffic Service on the Lower Mississippi River and transfer certain vessel traffic management provisions on the river.

By implementing a proposed transition to VTS in a phased manner which would allow for the orderly transition from existing regulations and practices to operating procedures appropriate to an AIS-based VTS.

- Ref: 65 FR 24616, Apr. 24, 2000


## Mandated by Congress in 2002

- Marine Transportation \& Security Act of 2002
- Commercial self-propelled vessels 65 feet or greater;
- Towing Vessels over 26 feet or greater and 600 hp or more;
- Passenger vessels as determined by USCG; and
- those the USCG deems necessary for safety.


## AIS Carriage Regulations 33 CFR 164.46

The following must have a properly installed, operational, type-approved AIS

- On international voyage:
$\checkmark$ Tankers, Passenger $\geq 150$ GT, all others $\geq 300$ GT
$\square$ Per SOLAS Regulation V/19.2.4
$\checkmark$ Self-propelled commercial vessels $\geq 65$ feet
$\square$ Except fishing and small passenger vessels (<150 passengers)
- Within a VTS area:
$\checkmark$ Self-propelled commercial vessel 65+ feet
$\square$ Except fishing \& small passengers vessels
$\checkmark$ Towing vessel $\geq 26$ feet and $\geq 600 \mathrm{hp}$
$\checkmark$ Vessel certificated to carry $\geq 150$ passengers


## AIS Rulemaking [Changes in Bold-type]

$\checkmark$ I0/23/03 current AIS requirement published (33 CFR 164.46)
$\checkmark$ 07/0I/03-0I/09/04, 3 meetings \& comment period re: AIS expansion
$\checkmark$ 10/3I/05, agenda entry re: expansion of AIS to all navigable waters
$\checkmark$ I2/I6/08, NPRM published; 04/I5/09, comment deadline (73 FR 78295)

- Proposed compliance date: NLT 7 month after Final Rule
- AIS prices: Class A, \$2,800-5,000; Class B, \$700-I,500
- Installation cost will vary by display options \& interfacing
- SOLAS requires interfacing to GPS, THD, ROT, back-up power
- Potentially could effect I7,442 vessels/I4,506 small biz's, i.e.
- Commercial self-propelled vessels of $\geq 65$ feet


## - No exclusions

- Towing vessels $\geq 26$ feet and $>600 \mathrm{hp}$
-Vessels with $\geq \mathbf{5 0}$ passengers (vice 150 for hire)
- Hi-Speed vessels with $\geq \mathbf{I} 2$ passengers for hire
. Certain dredges \& floating plants, \&
- Vessel moving certain dangerous cargoes

| Estimated Expanded AIS Population |  |
| :---: | :---: |
| $\underline{\text { Ships } \geq 655 \mathrm{tt}}$ | 2,973 |
| Freight Ship | 298 |
| Industrial Ship | 78 |
| Modu | 210 |
| osv | 553 |
| Research Vessel | 97 |
| School Ship | 19 |
| Tank Ship | 122 |
| Unclassified | 385 |
| Unknown | 541 |
| Fishing ${ }_{\geq 654}$ | 5,520 |
| Documented | 4,571 |
| Undocumented (est.) | 949 |
| Towing $\geq 264 \mathrm{t}$ \& $\geq 600 \mathrm{hp}$ | 4,560 |
| Passenger | 3,235 |
| $\geq 655$ | 2,167 |
| $<655^{\text {but }} \geq 50 \mathrm{pax}$ | 1,062 |
| $\rightarrow 30 \mathrm{kts} \&>12$ pax for hire | 6 |
| Dredges | 35 |
| Total (U.S.) | 16,323 |
| Foreign Flag $\geq 655$ | 1,119 |
| Total (All) | 17,442 |

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## U.S. AIS Carriage Population

| Vessel Service | SoLAS | IR $7 / 1 / 02$ | FR 11/23/03 | NPRM 12/16/08 |
| :--- | :---: | :---: | :---: | ---: |
| Fishing Boat | $\mathbf{1}$ | 749 | - | 5,520 |
| Cargo Ship | 154 | 77 | 77 | 298 |
| Industrial Vessel | 21 | 11 | 11 | 748 |
| MODU | 1 | - | - | 210 |
| Offshore Supply Vessel | 55 | 433 | 432 | 553 |
| Passenger Vessel | 81 | 576 | 171 | 3,235 |
| Public/Research/School | 10 | 18 | 16 | 116 |
| Tank Ship | 102 | 15 | 15 | 122 |
| Towboat/Tug | 13 | 2,215 | 2,212 | 4,560 |
| Dredge | - | - | - | 35 |
| Other | - | 11 | 13 | 385 |
| Unknown | - | 16 | 16 | 541 |
| Foreign >65'<300GT |  |  |  |  |

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## AIS Certification Standards Update

- IEC 61993-2 Class A published in 2001
-Edition 2 completed - publication 2012
- IEC 62287-1 Class B published in 2006
-Edition 2 published - 29 Oct 10
- IEC 62320-1 AIS base station published in 2007
- IEC 62320-2 AIS AtoN base station published in 2008
- IEC 61097-14 AIS SART published in 2009
-Their use became permissible $1 / 1 / 10$
- IEC 62287-2 Class B SOTDMA
-Still in development - publication 2012


## AIS SART - GMDSS Search and Rescue AIS Transmitter

NEW PRODUCT - Part of GMDSS from Jan. 2010:

- Alternative to traditional radar SART, for use in life boats / rafts
- Location is automatically shown on electronic chart / ECDIS
- Each AIS-SART has a unique code, unlike radar-SART \& 121.5, thus many in the same area will not overload the search system.
- Transmit 1 burst of 8 transmissions every minute, using SOTDMA
- 1 W ERP output / 96 hours operation



## Key West Trials Aviation Results



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| ID\# | ITU-R M.1371 AIS Message Descriptions - Applications | A <br> $\mathbf{U}$ | A <br> $\mathbf{S}$ | $\mathbf{N}$ | Slots |
| ---: | :--- | :--- | :--- | :--- | :---: |
| $\mathbf{1 , 2 , 3}$ | Position Reports - autonomous (au), assigned (as), or interrogated (in) | x | x | x | 1 |
| $\mathbf{4}$ | Base Station Report - UTC/date, position, slot nr. |  | x |  | 1 |
| $\mathbf{5}$ | Class A Report - static and voyage related data | x | x | x | 2 |
| $6,7,8$ | Binary Message - addressed, acknowledge or broadcast | x | x | x | $5 / 2$ |
| $\mathbf{9}$ | SAR aircraft position report | x | x | x | 1 |
| $\mathbf{1 0 , 1 1}$ | UTC/Date - enquiry and response |  | x | x | 1 |
| $\mathbf{1 2 , 1 3 , 1 4}$ | Safety Text Message - addressed, acknowledge or broadcast |  | x | x | $5 / 2$ |
| $\mathbf{1 5}$ | Interrogation - request for specific messages |  | x | x | 1 |
| $\mathbf{1 6}$ | Assignment Mode Command | x | x |  | 1 |
| $\mathbf{1 7}$ | Binary Message - DGNSS Correction |  | x |  | 1 |
| $\mathbf{1 8 , 1 9}$ | Class B Reports - position \& extended | x | x |  | 2 |
| $\mathbf{2 0}$ | Data Link Management - reserve slots | x |  | 1 |  |
| $\mathbf{2 1}$ | ATON Report - position \& status | x | x | x | $\mathbf{2}$ |
| $\mathbf{2 2}$ | Channel Management |  | x |  | 1 |
| $\mathbf{2 3}$ | Group Assignment |  |  | 1 |  |
| $\mathbf{2 4}$ | Class B-CS Static Data |  | x | $\mathbf{1}$ |  |
| $\mathbf{2 5}$ | Binary Message - single-slot |  |  | $\mathbf{1}$ |  |
| $\mathbf{2 6}$ | Binary Message - multi-slot (STDMA) |  |  | 5 |  |

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## Application Specific Message Format

Rec. ITU-R M.1371-1

### 3.3.8.2.6 Message 8: Binary broadcast message

This message will be variable in length, based on the amount of binary data. The length should vary between 1 and 5 slots.

TABLE 22

| Parameter | Number <br> of bits | Description |  |  |
| :--- | :---: | :--- | :--- | :--- |
| Message ID | 6 | Identifier for Message 8; always 8 |  |  |
| Repeat indicator | 2 | Used by the repeater to indicate how many times a message has been <br> repeated. See § 3.3.8.2.1.1 |  |  |
| Source ID | 30 | MMSI number of source station |  |  |
| Spare | 2 | Not used. Should be set to zero | Should be as described <br> in § 3.3.8.2.4.1 |  |
| Binary data | Maximum <br> 968 | Application identifier | 16 bits | Application specific <br> data |
|  |  | Application data | Maximum 952 bits |  |

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| AlS ? | AlS Tx | AlS Rx | S57 | S57? |
| :--- | :--- | :--- | :--- | :--- | | Nav | Route | GPS | Dredge Monitoring |
| :--- | :--- | :--- | :--- |
| S57 Lists | Aton | Lock Order | Met Hydro |

Station ID


SUPERIOR SHOALS, NY Station Type Weather Station Latitude Longitude Wind Speed Wind Gust Wind Direction Air Pressure Air Temp Dew Point Visibility Water Temp Time of Report $44^{\circ} 28^{\prime} 12.00^{\prime \prime} \mathrm{N}$ $075^{\circ} 48^{\prime} 00.00^{\prime \prime} \mathrm{W}$ 26.9 kts 30.1 kts 30.1 kts 996.0 mbar $17.4^{\circ} \mathrm{C}$ $12.4^{\circ} \mathrm{C}$ 25.4 km $18.0^{\circ} \mathrm{C}$ 10:34:00 Time Since Last Report $00 \mathrm{~h} \mathrm{02m} \mathrm{16s}$

## Station ID

 Station Type Latitude Longitude Water Level Level Type Chart DatumSUPERIOR SHOALS, NY Weather Station $44^{\circ} 28^{\prime} 12.00^{\prime \prime} \mathrm{N}$ $075^{\circ} 48^{\prime} 00.00^{\prime \prime} \mathrm{W}$

## Current Speed

Current Direction

## Salinity

 Water Temp Water Flow Time of Report Time Since Last Report
$1 / 64 \mathrm{Nm}$ $1 / 32 \mathrm{Nm}$

Meteorological \& Hydrological Reporting
$1 / 16 \mathrm{Nm}$

- Improves the overall safety \& efficiency of marine traffic
- Some in place since 2002


## AIS can transfer data via binary messages...

- Provides a means to use other applications
- Encode application on the transmission side
- Decode application on the receive side
- Sent as either General or Addressed broadcast
- Addressed messages (MMSI-to-MMSI) receives an acknowledgement that the binary message was received


## GUIDANCE ON THE APPLICATION OF AIS BINARY MESSAGES

1
The Maritime Safety Committee, at its seventy-eighth session (12 to 21 May 2004), approved $\mathrm{SN} /$ Circ. 236 on Guidance on the application of AIS binary messages as prepared by the Sub-Committee on Safety of Navigation at its forty-ninth session (30 June to 4 July 2003).

2 Automatic Identification System (AIS) is a working system for ship identification and tracking that has the capability of the service of binary messages. The concept, functional requirements, and technical constraints are described in annex 1 .

3 The Sub-Committee on Safety of Navigation, at its forty-ninth session selected seven (7) binary messages as shown in annex 2 to this circular to be used as a trial set of messages. The idea is to use this set of 7 messages for a trial period of 4 years with no change. It should be noted that 4 additional system-related messages identified in Recommendation ITU-R M. 1371 are needed for the operation of the system.

4 The criteria for selecting the 7 trial messages were:
. 1 demonstrated operational need;
. 2 a cross-section of users, including ships, VTS, pilots, and port authorities; and
. 3 messages already developed for format and content.
5 In addition, messages were limited to a maximum number of 3 slots to reduce the potential for overloading the AIS frequencies designated for IMO.

6 In addition to these 7 messages and 4 system-related messages, the Sub-Committee agreed to allow 2 additional messages in the 4 -year trial period to test the process of introducing new binary

## IMO SN/Circ. 236 ASM's

$\square$ Met/Hydrological*
$\square$ Dangerous cargo indication*

- Fairway closed*

Tidal window*

- Extended ship static \& voyage-related data*
- Number of persons on board**
- VIS-generated/synthetic targets**


## GUIDANCE ON THE USE OF AIS APPLICATION-SPECIFIC MESSAGES

1 The Maritime Safety Committee, at its seventy-eighth session (12 to 21 May 2004), approved SN/Circ. 236 on Guidance on the application of AIS binary messages as prepared by the Sub-Committee on Safety of Navigation at its forty-ninth session (30 June to 4 July 2003).

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3 The Sub-Committee on Safety of Navigation, at its fifty-fifth session ( 27 to 31 July 2009), after evaluating the use of binary messages in the trial period defined in SN/Circ.236, agreed on Guidance on the use of AIS Application-Specific Messages, including messages which are recommended for international use.

4 The Maritime Safety Committee, at its eighty-seventh session (12 to 21 May 2010), concurred with the Sub-Committee's views and approved the Guidance on the use of AIS Application Specific Messages, as set out at annex.

5 Member Governments are invited to bring the annexed Guidance to the attention of all concerned.

This circular revokes SN/Circ. 236 as from 1 January 2013.

## IMO SN/Circ. 289 ASM's

$\square$ Clearance time to enter port
$\square$ Marine traffic signal
$\square$ Berthing data
O Weather observation report from ship
$\square$ Area notice - broadcast \& addressed
$\square$ Extended ship static and voyage-related data*
Dangerous cargo indication*
$\square$ Environmental Data
$\square$ Route information - broadcast \& addressed
$\square$ Text description - broadcast $\&$ addressed
$\square$ Meteorological and Hydrographic [sensor] data
$\square$ Tidal window

## Future ASM developments...

- International Assoc. of Marine Aids to Navigation \& Lighthouse Authorities (IALA) Guidelines \& Recommendations
$\checkmark \quad$ E-Navigation Committee, Portrayal Working Group
$\checkmark \quad$ Maintaining an AIS ASM catalogue
- Radio Technical Commission for Maritime Services (RTCM) Standards

$\checkmark \quad$ Special Committee 121 - AIS ASM
$\checkmark$ Special Committee 129 - Navigation Portrayal
$\checkmark$ Special Committee 109 - Electronic Chart Systems
- U.S. Coast Guard
$\checkmark \quad$ To expand our AIS ASM test beds to Louisville KY and with USACE LOMA effort
$\checkmark$ To expand mandatory AIS carriage to all U.S. waters
$\checkmark \quad$ To require ECS and its integration with AIS (including ASM's)
$\checkmark \quad$ To provide NOAA PORTS via NAIS



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Physical Oceanographic Real-Time System PORTS ${ }^{\circledR}$

Quality Control

Data Collection
Platiform
*
Buoy Mounted
ADCP


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## 42.4nmi $\times 29.0 \mathrm{nmi} \mid$ NorthUp | manual-follow | warn:OFF | user: none

|  | Sensar | Wind (Gust) |
| :---: | :---: | :---: |
| 0 | PORT MANATEE | 4(7)kts@142* |
| 1 | ST. PETERSBURG | 7 ( 8) kts@146 |
|  | OLD PORT TAMPA | 5 ( 8) kts@128* |
| 3 | MCKAY BAY ENTR | 8 (10)kts@133* |
| 4 | BERTH 223 | 5 ( 7)kts@126* |
| 5 | OLD PORT TAMPA | --(--)kts@---- |
| 6 | SEABULK | 5 ( 7)kts@118* |
| 7 | SUNSHINE SKYWA | -- (--)kts@---- |
| 8 |  | --(--)kts@---- |
| 9 |  | -- (--)kts@---- |
| 10 |  | --(--)kts@---- |


| Tide | Current | Temp $\wedge$ |
| :---: | :---: | :---: |
| 2.7 ft | -. -kts@ | ---F |
| $3.1 \mathrm{ft} \backslash$ | -. -kts@ | ---F |
| $3.3 \mathrm{ft} \backslash$ | -. -kts@- | ---F |
| $3.2 \mathrm{ft} \backslash$ | -. -kts@---- | ---F |
| -. -ft-- | -. -kts@---- | ---F |
| . -ft-- | 1.2kts@214* | ---F |
| . -ft -- | -. -kts@---- | ---F |
| --. -ft-- | 1.3kts@238 ${ }^{\circ}$ | ---F |
| -ft-- | -. -kts@---- | ---F |
| -. -ft-- | -. -kts@---- | ---F |
| -ft-- | -. -kts@ | ---F |



 $0.06 \mathrm{ks} @ 029^{\circ}$
ROSBY VOYAGERRC LIBERTY
0.0kts@1340.0kts@093

गMाSYANKEEEREEDOM
c0!0!0kts@2i0!0kts@25!io MV GOLDENEYE

Exit


NOAA
P.O.R.T.S.

Physical
Oceanographic
Real-Time System

## Current USA NAIS Coverage



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## AIS ASM NOAA PORTS Portrayal



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| :--- | :--- | :--- | :--- | :--- | | Nav | Route | GPS | Dredge Monitoring |
| :--- | :--- | :--- | :--- |
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Meteorological \& Hydrological Reporting
$1 / 16 \mathrm{Nm}$

- Improves the overall safety \& efficiency of marine traffic
- Some in place since 2002

| Als Tx | AlS Rx |  | 557 |  | S57? |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Nav | Route | GPS | Als Info |  | AIS ? |
| S57 List | Aton | Lock Orde |  |  | Met Hydro |
| Lock | Type | Time of last Report |  |  | $\pm$ |
| L5w' | Lock Order | er 16 July 14:22 |  |  |  |
| SLB | Lock Order | r 16 July 14:21 |  |  |  |
| csc | Lock Order | r 16 July 14:21 |  |  |  |
| * 803 | Lock Order | r 16 July 14:21 |  |  |  |
| IRO | Lock Order | r 16 July 14:21 |  |  |  |
| ம2 | Lock Order | e 16 July 14:21 |  |  |  |
| L4W0' | Lock Order | r 16 July 14:21 |  |  | - |
| ID |  | Direct |  | ETA |  |
| SEA GUARDIAN II DARYAMA PINEGLEN |  | Up bound |  | 16:57 |  |
|  |  | Down bound |  | 11:13 |  |
|  |  | Up bound |  | 15:33 |  |

Vessel Name
Last Location
Last ATA
First Lock
First Lock ETA
Second Lock
Second Lock ETA
Delay Lock
Time of Report

$$
N / A
$$

N/A
N/A
N/A
N/A
N/A
N/A
N/A
N/A


Lock Order
Used by Saint Lawrence Seaway since 2002
Improves efficiency, lock utilization, mitigates racing \& wait time

BOH Pool Level

## Area Notice (Geo-referenced Information)



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## Area Notice Descriptions <br> Anchorage Area: Anchorage closed

Anchorage Area: Anchorage open Anchorage Area: Anchoring prohibited Anchorage Area: Deep draft anchorage Anchorage Area: Shallow draft anchorage Anchorage Area: Vessel transfer operations Cancellation - cancel area per Msg Linkage ID Caution Area: Cluster of fishing vessels
Caution Area: Derelicts (drifting objects)
Caution Area: Divers down
Caution Area: Dredge operations
Caution Area: Fairway closed
Caution Area: Fishery - nets in water
Caution Area: Harbour closed
Caution Area: Marine event
Caution Area: Marine mammals habitat
Caution Area: Marine mammals in area - reduce speed
Caution Area: Marine mammals in area - report sightings
Caution Area: Marine mammals in area - stay clear
Caution Area: Protected habitat - no fishing or anchoring
Caution Area: Protected habitat - reduce speed
Caution Area: Protected habitat - stay clear
Caution Area: Risk (define in Associated text field)
Caution Area: Seaplane operations
Caution Area: Survey operations
Caution Area: Swim area
Caution Area: Traffic congestion
Caution Area: Underwater operation
Caution Area: Underwater vehicle operation
Chart Feature: Bridge closed
Chart Feature: Bridge fully open

Chart Feature: Bridge partially open Chart Feature: Channel obstruction Chart Feature: Reduced vertical clearance Chart Feature: Semi-submerged object Chart Feature: Shoal area Chart Feature: Shoal area due east Chart Feature: Shoal area due north Chart Feature: Shoal area due south Chart Feature: Shoal area due west Chart Feature: Submerged object Chart Feature: Sunken vessel

Clearance granted - proceed to berth
Distress Area: Person overboard
Distress Area: Pollution response area Distress Area: SAR area
Distress Area: Vessel abandoning ship
Distress Area: Vessel collision
Distress Area: Vessel disabled and adrift
Distress Area: Vessel fire/explosion
Distress Area: Vessel flooding
Distress Area: Vessel grounding
Distress Area: Vessel listing/capsizing
Distress Area: Vessel requests medical assistance
Distress Area: Vessel sinking
Distress Area: Vessel under assault
Environmental Caution Area: Heavy icing
Environmental Caution Area: Restricted visibility Environmental Caution Area: Strong currents Environmental Caution Area: Hazardous sea ice Environmental Caution Area: High waves

Environmental Caution Area: High wind Environmental Caution Area: Storm front (line squall)
Environmental Caution Area: Storm warning
Information: Icebreaker waiting area
Information: Location of response units
Information: Pilot boarding position
Information: Places of refuge
Information: Position of icebreakers
Instruction: Await instructions prior to ...
Instruction: Contact Port Administration here Instruction: Contact VTS at this point/juncture

Instruction: Do not proceed beyond this point/juncture
Other - Define in associated text field
Proceed to this location - await instructions
Report from ship: Icing info
Report from ship: Miscellaneous information
Restricted Area: Active military OPAREA
Restricted Area: Drifting Mines
Restricted Area: Entry approval required prior to transit
Restricted Area: Entry prohibited
Restricted Area: Firing - danger area.
Restricted Area: Fishing prohibited
Restricted Area: No anchoring.
Rouge or suspicious vessel
Route: Alternative route
Route: Recommended route
Route: Recommended route through ice
Security Alert - Level 1/2/3
Vessel requesting non-distress assistance
VTS active target


## Homeland Security




## Homeland Security

| AIS Class A \& B Comparison | Class A | Class B/CS |
| :---: | :---: | :---: |
| Transmit Power | 2w | 12.5w/ 2w (low-power) |
| Reporting Rate | 2-10 sec-speed and/or course dependent | 30 sec. fixed |
| Communication Protocol | SO-TDMA <br> Self-Organizing amongst Class A's | CS-TDMA <br> Carrier-Sense(s), polite to Class A's |
| Frequency Range \& Bandwidth | I 56.025-162.025 MHz @ I 2/25 kHz DSC Required | $\text { 161.500-162.025 MHz @ } 25 \text { kHz }$ $\text { DSC \& I } 2.5 \text { kHz Optional }$ |
| Position Source | External GNSS \& Internal GPS | Internal GPS |
| Digital Interfaces | 2 Input-Output Ports \& Multiple Outputs | Optional |
| Display | Multiple Keyboard Display (MKD) | Optional |
| Safety Text Messaging | Receive \& Transmit | Transmit Optional \& Pre-configured |
| Data | All | No Rate of Turn, Navigation Status, Destination, ETA, Draft, IMO\# |
| CG Type-Approvals | 22 Models - 16 Manufacturers | 8 Models - 8 Manufacturers |
| Approximate Cost | \$2,800-4,000 | \$700-1,500 |

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## AUTOMATIC IDENTIFICATION SYSTEM



AUTOMATIC IDENTIFICATION SYSTEM (AIS) is an invaluable navigation safety radio communication tool. However, its usefulness is undermined by the broadcast of inaccurate, improper or outdated data. Mariners are reminded that U.S. regulation requires that each AIS be maintained in effective operating condition which includes the accurate input and upkeep of all AIS data fields. Failure to do so may subject a vessel to civil penalties of up to $\$ 25,000$ per ocurrence. To avoid such penalties AlS user should ensure their system are encoded as follows:

Static Data...should be manually y inputted at installation and password protected - know your password, you will need it to rehcode your AIS

- Maritime Mobile Service Identifier (MMSI), call sign, and vessel name should mirror the vessel's official radio station license. Vessel names should NOT include precursors or designators, such as: F/V, M/V, MV, OSV, P/V, REC, S/V, TUG, etc. Vessel names of 20 characters or greater should NOT be abbreviated or truncated; previously FCC licensed fleet vessels ${ }^{1}$, which should include the segment of its name that is unique to the vessel, e.g. MYCOMPANFFLEETBOAT1234-> MYCOMPANYFLEETB1234 MYCOMPANYFLEETBOAT ALPHA -> MYCOMPANYFLEET ALPHA
FCC unlincensed pleasure craft should use
\{@@@@@@@\} as their call-sign and their registration number preceded by \{USA\#\# $\}$ as their name, e.g. USA\#WA1234YZ. If unnumbered (e.g. tenders, associated craft), use their parent ship \{name followed by a a dash $\{-\}$ and a numerical designator that distinguishes it amongst others, e.g. PARENTSHIPNAME- $\mathrm{H}_{\mathrm{n}}\{\mathrm{n}=1,2,3 \ldots$, and shall reflect the last 6 digits of the parent MMSI preceded by \{A\}, e.g. A123456, in their AIS message 24 B call-sign parameter.
- IMO Number should reflect the vessel's assigned ${ }^{2}$ IMO number or absent an IMO assignment its U.S. documentation number preceded by $\{100\}$ or $\{1000\}$, e.g. 1001234567, 1000123456.

Dynamic Data....should be provided via properly installed and integrated external sensors and that are accurate and continuously operational

- Type of positioning source and accuracy should be properly identified, e.g. GPS, surveyed or manual input. This same source should provide: course over ground in $1 / 10$ degrees, speed over ground in $1 / 10$ knots, vessel position in $1 / 10$ seconds of latitude \& longitude, and its accuracy (i.e. greater than or less than 10 meters).
- Heading and Rate of Turn as required per SOLAS Chp. V Regulation 19.2 for vessels of 150 or $50,000 \mathrm{GT}$ or greater, respectively.

Voyage Related Data....should be updated expeditiously

- Navigation Status should reflect the current status of the vessel, e.g. at anchor, underway using engines, engaged in fishing, etc. Always remember to change your status from underway to anchored or moored.
- Type of vessel shall reflect a ship type denoted in the accompanying table, which is either manually inputted or menu selection.
- Dimensions are derived from the distance to AlS or vessel's GPS antenna location to 4 cardinal points (ABCD) expressed in meters NOT feet. Also to be used by 'ship types 91' to convey the rectangular proportions of the tow.
- Static Draft should reflect the actual or maximum draft, if the actual draft is unavailable or unknown.
- Estimated Time of Arrival to destination or voyage departure, expressed in Universal Time Coordinated NOT local time.

- Destination should be encoded using UN/LOCODE ${ }^{3}$ or US/GUID ${ }^{4}$ codes as follows:
UN/LOCODE format is required for international voyages
Origination Country f\} PorstD Destination \{\} Port E.g.
BS FPOSUS NYC for Rotterdam to New York City
US SFOCON SHA for San Francisco to Shanghai
US/GUID format is required for U.S. domestic woyages US+GUID $\{>/ \infty / \infty<\}$ GUID, $\mathrm{E}_{\mathrm{E}}$.
US+OYRXSO25SO for berth to berth voyages
US+OZV5 $\propto$ OVBM for scheduled circuitous voyages, i.e. ferries
US+0Z15-00V5 for voyages to nowhere and back
US+0V155-00V5 operating in a confined area, ie. fleeting area, marina
US@ozUs for anchored, moored, or hovering in one location
If Als lacks angle brackets \{;\} substitute with parenthesis; $\{$ ) or 0 or )(

Safety-Related Text Messaging... should be short, concise, and, only to exchange pertinent navigation safety-related information

- AIS safety-related text messages (SRM) must be in English and solely to exchange or communicate navigation safety information, such as a SECURITE ${ }^{4}$ broadcast.
- Although not prohibited, AlS text messaging should NOT be relied upon as the primary means for broadcasting distress or urgent communications, such as a MAYDAY ${ }^{4}$ or PAN PAN ${ }^{4}$.
- So as to not congest the AIS network, SRM should be as short and concise. The use of abbreviations and acronyms is highly encouraged. See your Local Notice to Mariners and NOAA Chart No. 1 for a listing of acceptable abbreviations.
- AlS Stations wishing to convey that they are in a test mode may broadcast should periodically broadcast a \{TESTING-IGNORE\} AIS SRM. Test periods shall not exceed an hour per day.
Embarked U.S. Pilots are highly encouraged to assist mariners in the proper encoding of their AIS
${ }^{1}$ See http://wireless.foc gov/services/index.htm \{Ship Radio Stations\}
${ }^{2}$ Obtained at www.imonumbers.Irfairplay.com/datause.aspx
${ }^{2}$ United Nations Location Codes (UN/LOCODE) at:
www.unece.org/cefact/locode/service/location.htm
${ }^{4}$ U.S. Geographically Unique ID (GUID) codes at:
www.ndc.iwr.usace: army-mil/search/nav_unit_search. aspx
${ }^{5}$ See 47 CFR 80.1109, Distress, urgency, and safety communications

| Numeric codes for 'Type of Ship and Cargo Type' are composed from the $1^{\#}$ and $2^{\text {nd }}$ digit columns, or, as denoted in columns $3 x$, 5 x , or 9 x . The terms used are as defined in IMO SOLAS or 46 U.S.C. 2101. Blue fonts denotes amplifying text not found in the original source (ITU-R M.1371-4) |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: |
| 1 * digit | $2^{\text {ed }}$ digit | Other Vessels codes (3x) | Special Craft codes (5x) | USA-Specific Regional Codes (9x) |
| 0-Not available DO NOT USE | 0-All ships of this type | 30 - Fishing * | 50 - Pilot vessel | 90 - Email cgnav@uscg.mil if you are another type of U.S. vessel not listed in this Table |
| 1 - Reserved for future use DO NOT USE | 1 - Carrying DG (Dangerous Goods), HS (Hazardous Substances), or MP (Marine Pollutant), IMO hazard or pollutant category $\mathrm{A} / \mathrm{x}$; <br> or more than 150 passengers | 31 - Engaged in towing by pulling (not pushing or hauling) | 51 -Search and rescue vessels, i.e. USCG boats, USCG Auxiliary, assistance towers | 91 - Engaged in towing barges by pushing ahead or hauling alongside (i.e. articulated tug-barges, push-boats, workboats); and, its dimensions (ABCD values) represent the overall rectangular dimensions of the vessel AND its tow* |
| 2-WIG | 2 - Carrying DG, HS, or MP, IMO hazard or pollutant category $\mathrm{B} / \mathrm{Y}_{\text {; }}$; or 50-149 passengers | 32 - Engaged in towing by pulling and length of the tow exceeds 200 meters ( 656 ft ) | 52-Tugs-seagoing | 92 - Engaged in towing other than barges by pushing ahead or hauling alongside (i.e. articulated tug-barges, push-boats, workboats); and, its dimensions (ABCD values) represent the overall rectangular dimensions of the vessel AND its tow* |
| 3 - Other vessels, per column (3x) | 3 - Carrying DG, HS, or MP, IMO hazard or pollutant category $\mathbf{C / Z}$; or 12-49 passengers | 33 - Engaged in dredging, salvage, survey or underwater operations* | 53 - Port or fish tenders | 93 - Light boats (i.e. push-boats or work boats NOT engaged in towing; and, dimensions (ABCD values) solely represent the vessel dimensions* |
| 4-HSC or ferries | 4 - Carrying DG, HS, or MP, IMO hazard or pollutant category D/OS; or less than 12 passengers | 34 - Engaged in diving operations* | 54 - Commercial response vessels with antipollution facilities or equipment | 94 - Offshore supply vessels (OSV) |
| 5 - Special craft, per column ( 5 x ) | 5-Reserved for future use DO NOT USE | 35 - Engaged in military operations | 55 - Law enforcement vessels, i.e. USCG cutters, marine police | 95 - Mobile Offshore Drilling Units (MODU) |
| 6 - Passenger ships or vessels, other than ferries | 6 - Reserved for future use DO NOT USE | 36 - Sailing vessels* | 56 - Spare-for assignments to local vessels work boats operating exclusively within a worksite (e.g. fleeting area, marina) | 96 - School, scientific, research or training ships |
| 7 - Cargo ships | 7 -Reserved for future use DO NOT USE | 37 -Pleasure craft | 57 - Spare-for assignments to local vessels involved in a regatta or marine event | 97 - Autonomous, remotely-controlled or otherwise selfpropelled unmanned craft |
| 8 - Tankers | 8 - Reserved for future use <br> DO NOT USE | 38 - Reserved for future use DO NOT USE | 58 - Medical transports (as defined in the 1949 Geneva Conventions and Addition Protocols) or other public response vessels | 98-Non-self-propelled vessels |
| 9 - Other types of ship, per column ( $9 x$ ) | 9-No additional information | 39 - Reserved for future use <br> DO NOT USE | 59 - Ships according to RR Resolution No. 18 (Mob-83) | 99 - No additional information |
|  |  |  |  |  |



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## NAVIGATION CENTER U.S. Department of Homeland Security <br> The Navigation Center of Excellence <br> united states coast guard I

Home \| Consolidated Nav Info \| DGPS Advisories \| GPS Constellation Status \| GPS Testing Notices \| LNMs \| Almanacs \| Nav Rules \| Als \| ContactUs \| Search $* * *$ UPDATED 6-6-2011: Warning for Fukushima, Japan *** In response to the situation at the Fukushima Nuclear Power Plant in Japan, the U.S. Coast Guard
recommends, as a precaution, that vessels avoid transiting within 20 kilometers/10.8 nautical miles of the Fukushima Nuclear Power Plant ( $37^{\circ} 25.5^{\prime} \mathrm{N}, 141^{\circ} 02.0^{\prime} \mathrm{E}$ )...read the entire notice.


## United States Coast Guard

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