#### **United States Coast Guard**

#### Office of Navigation Systems



Providing navigation safety information for America's waterways

Jorge Arroyo
Program Analyst
U.S. Coast Guard Headquarters
Washington, DC

International Boat builders'
Exhibition and Conference (IBEX)
October 17th, 2011
Louisville, KY





#### **Automatic Identification System (AIS)**

- ✓ U.S. AIS History
- Regulations...Who? Where? When?
- AIS Update
- Application Specific Messaging
- AIS @ www.navcen.uscg.gov
- Questions & Answers





## **AIS History & Timeline**

WRC'97 AIS1 Ch.87B AIS2 Ch.88B

**SOLAS** V/19.2.4

2002 IMO Diplomatic Conference

SOLAS V/19.2.4

IMO MSC 74 (69) Performance

ITU-R M.1371-1 Technical IEC 61993-2 Testing & Certification

1990-----1994-----1997----1998----1999----2000----2001----2002---2003--2004



National Dialog Group Marine Board Ports & Waterways Study

FCC Notice DA-02-1362

105<sup>th</sup> Congress

VTS LMR Public Meeting MTSA - 11/02 Interim - 7/03 Final - 10/03 Deadline - 1/04





#### What started the USCG on AIS?

In 1990, Congress passed the Oil Pollution Act which participation in VTS mandatory and directed the USCG to seek ways to have 'dependent surveillance' of all tankers bound for Valdez, Alaska.

To that end, in 1993 the USCG developed Automated Dependent Surveillance Shipboard Equipment (ADSSE), based on Digital Selective Calling (DSC) protocol.





#### **Congress supports/mandates AIS!**

In 1997, Congress...stated that AIS "technology should be the foundation of any future VTS system" and that it "strongly believes that this technology will significantly improve navigational safety, not just in select VTS target ports, but throughout the navigable waters of the U.S", and, that we "continue working with stakeholders..."

H.R. Rep. No. 236, 105th Cong., 1st Sess. (1997)





#### **Industry endorses AIS!**

In 1999, the National Dialog Group, comprised of the marine private and public representatives, stated they:

"strongly endorse the widespread use of AIS employing dGPS and onboard transponder technologies...that national use of AIS technology on the greatest number of vessels is essential both as a foundation of a VTS system...improving navigation safety...strongly urge the USCG to take the lead...in developing equipment and procedural standards that will promote universal use of AIS technology", which will "be less intrusive and distracting to the mariner than will a voice-based control system..."





#### **AIS Timeline**

WRC'97 AIS1 Ch.87B AIS2 Ch.88B

**SOLAS** V/19.2.4

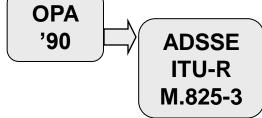
2002 IMO Diplomatic Conference

SOLAS V/19.2.4

IMO MSC 74 (69) Performance

ITU-R M.1371-1 Technical IEC 61993-2 Testing & Certification

1990-----1994-----1997----1998----1999----2000----2001----2002---2003--2004



National Dialog Group Marine Board Ports & Waterways Study

FCC Notice DA-02-1362

105<sup>th</sup> Congress

VTS LMR Public Meeting MTSA - 11/02 Interim - 7/03 Final - 10/03 Deadline - 1/04





#### **Towards an AIS-based VTS**

In an effort to facilitate vessel transits, enhance good order, promote safe navigation, and improve upon existing operating measures on the waterway. The USCG proposed to establish a Vessel Traffic Service on the Lower Mississippi River and transfer certain vessel traffic management provisions on the river.

By implementing a proposed transition to VTS in a phased manner which would allow for the orderly transition from existing regulations and practices to operating procedures appropriate to an AIS-based VTS.

• Ref: 65 FR 24616, Apr. 24, 2000





#### Mandated by Congress in 2002

- Marine Transportation & Security Act of 2002
  - Commercial self-propelled vessels 65 feet or greater;
  - Towing Vessels over 26 feet or greater and 600 hp or more;
  - Passenger vessels as determined by USCG; and
  - those the USCG deems necessary for safety.





# AIS Carriage Regulations 33 CFR 164.46

The following must have a properly installed, operational, type-approved AIS

- On international voyage:
  - √ Tankers, Passenger ≥ 150 GT, all others ≥ 300 GT
    - ☐ Per SOLAS Regulation V/19.2.4
  - ✓ Self-propelled commercial vessels ≥ 65 feet
    - ☐ Except fishing and small passenger vessels (<150 passengers)
- Within a VTS area:
  - ✓ Self-propelled commercial vessel 65+ feet
    - ☐ Except fishing & small passengers vessels
  - ✓ Towing vessel  $\geq$  26 feet and  $\geq$  600 hp
  - √ Vessel certificated to carry ≥ 150 passengers





#### AIS Rulemaking [Changes in Bold-type]

- ✓ 10/23/03 current AIS requirement published (33 CFR 164.46)
- ✓ 07/01/03-01/09/04, 3 meetings & comment period re: AIS expansion
- √ 10/31/05, agenda entry re: expansion of AIS to all navigable waters.
- ✓ 12/16/08, NPRM published; 04/15/09, comment deadline (73 FR 78295)
- Proposed compliance date: NLT 7 month after Final Rule
- AIS prices: Class A, \$2,800-5,000; Class B, \$700-1,500
  - Installation cost will vary by display options & interfacing
  - · SOLAS requires interfacing to GPS, THD, ROT, back-up power
- Potentially could effect 17,442 vessels/14,506 small biz's, i.e.
  - Commercial self-propelled vessels of  $\geq$  65 feet
    - No exclusions
  - Towing vessels  $\geq$  26 feet and > 600 hp
  - Vessels with ≥ 50 passengers (vice 150 for hire)
  - Hi-Speed vessels with ≥ 12 passengers for hire
  - Certain dredges & floating plants, &
  - · Vessel moving certain dangerous cargoes

Estimated Expanded AIS Population	
Ships ≥65ft	2,973
Freight Ship	298
Industrial Ship	748
MODU	210
OSV	553
Research Vessel	97
School Ship	19
Tank Ship	122
Unclassified	385
Unknown	541
Fishing ≥65ft	5,520
Documented	4,571
Undocumented (est.)	949
<b>Towing</b> ≥26ft & ≥600hp	4,560
Passenger	3,235
<u>&gt;</u> 65ft	2,167
<65' but ≥50 pax	1,062
>30kts & >12 pax for hire	6
Dredges	35
Total (u.s.)	16,323
Foreign Flag ≥65ft	1,119
Total (All)	17,442





### **U.S. AIS Carriage Population**

Vessel Service	SOLAS	IR 7/1/02	FR 11/23/03	NPRM 12/16/08
Fishing Boat	1	749	-	5,520
Cargo Ship	154	77	77	298
Industrial Vessel	21	11	11	748
MODU	1	-	-	210
Offshore Supply Vessel	55	433	432	553
Passenger Vessel	81	576	171	3,235
Public/Research/School	10	18	16	116
Tank Ship	102	15	15	122
Towboat/Tug	13	2,215	2,212	4,560
Dredge	-	-	-	35
Other	-	11	13	385
Unknown	-	16	16	541
Foreign >65'<300GT				1,119
Totals	438	4,121	2,963	17,442





#### **AIS Certification Standards Update**

- IEC 61993-2 Class A published in 2001
  - -Edition 2 completed publication 2012
- IEC 62287-1 Class B published in 2006
  - -Edition 2 published 29 Oct 10
- IEC 62320-1 AIS base station published in 2007
- IEC 62320-2 AIS AtoN base station published in 2008
- IEC 61097-14 AIS SART published in 2009
  - -Their use became permissible 1/1/10
- IEC 62287-2 Class B SOTDMA
  - -Still in development publication 2012



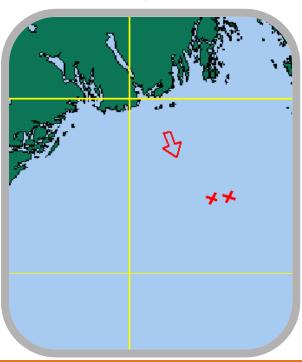


#### AIS SART – GMDSS Search and Rescue AIS Transmitter



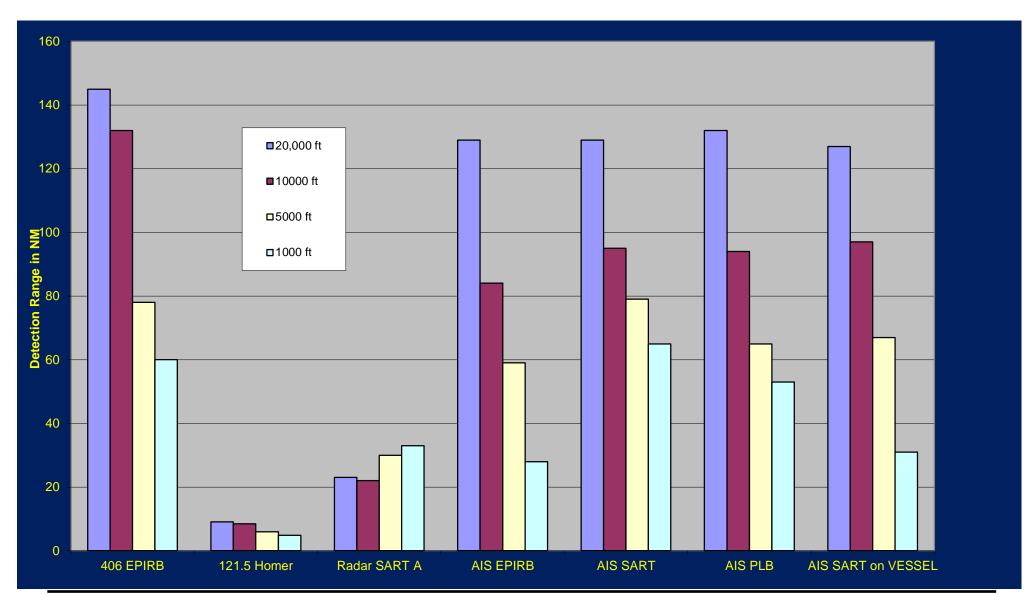
- Alternative to traditional radar SART, for use in life boats / rafts
- Location is automatically shown on electronic chart / ECDIS
- Each AIS-SART has a unique code, unlike radar-SART & 121.5, thus many in the same area will not overload the search system.
- Transmit 1 burst of 8 transmissions every minute, using SOTDMA
- 1 W ERP output / 96 hours operation







# **Key West Trials Aviation Results**







ID#	ITU-R M.1371 AIS Message Descriptions - Applications	A U	AS	_ Z	Slots
1,2,3	Position Reports – autonomous (au), assigned (as), or interrogated (in)		Х	X	1
4	Base Station Report – UTC/date, position, slot nr.		X		1
5	Class A Report - static and voyage related data	х	Х	Х	2
6,7,8	Binary Message – addressed, acknowledge or broadcast	x	x	X	5/2
9	SAR aircraft position report	х	х	X	1
10,11	UTC/Date - enquiry and response		х	X	1
12,13,14	Safety Text Message – addressed, acknowledge or broadcast		х	X	5/2
15	Interrogation - request for specific messages		Х	X	1
16	Assignment Mode Command	х	х		1
17	Binary Message – DGNSS Correction		х		1
18,19	Class B Reports – position & extended	х	х		2
20	Data Link Management – reserve slots		х		1
21	ATON Report – position & status	х	х	Х	2
22	Channel Management		х		1
23	Group Assignment				1
24	Class B-CS Static Data			X	1
25	Binary Message - single-slot				1
26	Binary Message - multi-slot (STDMA)				5





#### **Application Specific Message Format**

Rec. ITU-R M.1371-1

#### 3.3.8.2.6 Message 8: Binary broadcast message

52

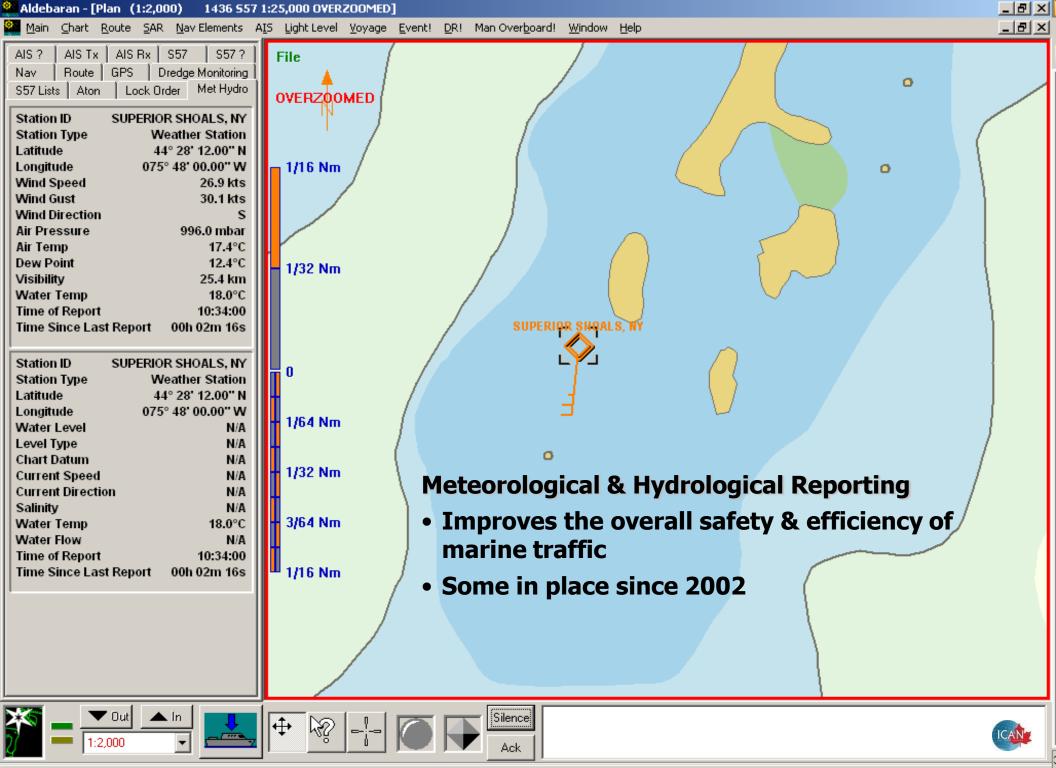
This message will be variable in length, based on the amount of binary data. The length should vary between 1 and 5 slots.

TABLE 22

Parameter	Number of bits	Description			
Message ID	6	Identifier for Message 8; always 8			
Repeat indicator	2	Used by the repeater to indicate how many times a message has been repeated. See § 3.3.8.2.1.1			
Source ID	30	MMSI number of source station			
Spare	2	Not used. Should be set to zero			
Binary data	Maximum 968	Application identifier	16 bits	Should be as described in § 3.3.8.2.4.1	
		Application data	Maximum 952 bits	Application specific data	
Total number of bits	Maximum 1 008	Occupies 1 to 5 slots			







#### AIS can transfer data via binary messages...

- Provides a means to use other applications
  - Encode application on the transmission side
  - Decode application on the receive side
  - Sent as either General or Addressed broadcast
    - Addressed messages (MMSI-to-MMSI)
       receives an acknowledgement that the binary
       message was received



# IMO SN/Circ.236 AIS BINARY GUIDANCE 4-YR TRIAL PERIOD May 2004 - 2008

INTERNATIONAL MARITIME ORGANIZATION 4 ALBERT EMBANKMENT LONDON SE1 7SR

Telephone: 020 7735 7611 Fax: 020 7587 3210

Ref.



 $\boldsymbol{E}$ 

SN/Circ.236 28 May 2004

#### GUIDANCE ON THE APPLICATION OF AIS BINARY MESSAGES

- The Maritime Safety Committee, at its seventy-eighth session (12 to 21 May 2004), approved SN/Circ.236 on Guidance on the application of AIS binary messages as prepared by the Sub-Committee on Safety of Navigation at its forty-ninth session (30 June to 4 July 2003).
- Automatic Identification System (AIS) is a working system for ship identification and tracking that has the capability of the service of binary messages. The concept, functional requirements, and technical constraints are described in annex 1.
- The Sub-Committee on Safety of Navigation, at its forty-ninth session selected seven (7) binary messages as shown in annex 2 to this circular to be used as a trial set of messages. The idea is to use this set of 7 messages for a trial period of 4 years with no change. It should be noted that 4 additional system-related messages identified in Recommendation ITU-R M.1371 are needed for the operation of the system.
- 4 The criteria for selecting the 7 trial messages were:
  - demonstrated operational need;
  - .2 a cross-section of users, including ships, VTS, pilots, and port authorities; and
  - .3 messages already developed for format and content.
- 5 In addition, messages were limited to a maximum number of 3 slots to reduce the potential for overloading the AIS frequencies designated for IMO.
- 6 In addition to these 7 messages and 4 system-related messages, the Sub-Committee agreed to allow 2 additional messages in the 4-year trial period to test the process of introducing new binary





#### IMO SN/Circ.236 ASM's

Met/Hydrological\* Dangerous cargo indication\* Fairway closed\* ☐ Tidal window\* Extended ship static & voyage-related data\* □ Number of persons on board\*\* VTS-generated/synthetic targets\*\*





# IMO SN/Circ.289 AIS ASM GUIDANCE 22 ASM's





#### 4 ALBERT EMBANKMENT LONDON SE1 7SR

Telephone: +44 (0)20 7735 7611 Fax: +44 (0)20 7587 3210

Ref. T2-OSS/2.7.1

SN.1/Circ.289 2 June 2010

#### GUIDANCE ON THE USE OF AIS APPLICATION-SPECIFIC MESSAGES

- 1 The Maritime Safety Committee, at its seventy-eighth session (12 to 21 May 2004), approved SN/Circ.236 on Guidance on the application of AIS binary messages as prepared by the Sub-Committee on Safety of Navigation at its forty-ninth session (30 June to 4 July 2003).
- 2 The Sub-Committee on Safety of Navigation, at its forty-ninth session (30 June to 4 July 2003), selected seven (7) binary messages as shown in annex 2 to SN/Circ.236 to be used as a trial set of messages for a period of four years with no change. It was noted that four additional system-related messages were identified in Recommendation ITU-R M.1371 for the operation of the system.
- 3 The Sub-Committee on Safety of Navigation, at its fifty-fifth session (27 to 31 July 2009), after evaluating the use of binary messages in the trial period defined in SN/Circ.236, agreed on Guidance on the use of AIS Application-Specific Messages, including messages which are recommended for international use.
- 4 The Maritime Safety Committee, at its eighty-seventh session (12 to 21 May 2010), concurred with the Sub-Committee's views and approved the Guidance on the use of AIS Application Specific Messages, as set out at annex.
- 5 Member Governments are invited to bring the annexed Guidance to the attention of all concerned.
- 6 This circular revokes SN/Circ.236 as from 1 January 2013.





#### IMO SN/Circ.289 ASM's

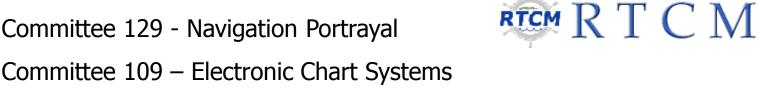
☐ Clearance time to enter port
☐ Marine traffic signal
□ Berthing data
☐ Weather observation report from ship
□ Area notice – broadcast & addressed
☐ Extended ship static and voyage-related data*
□ Dangerous cargo indication*
□ Environmental Data
□ Route information - broadcast & addressed
□ Text description - broadcast & addressed
☐ Meteorological and Hydrographic [sensor] data
☐ Tidal window





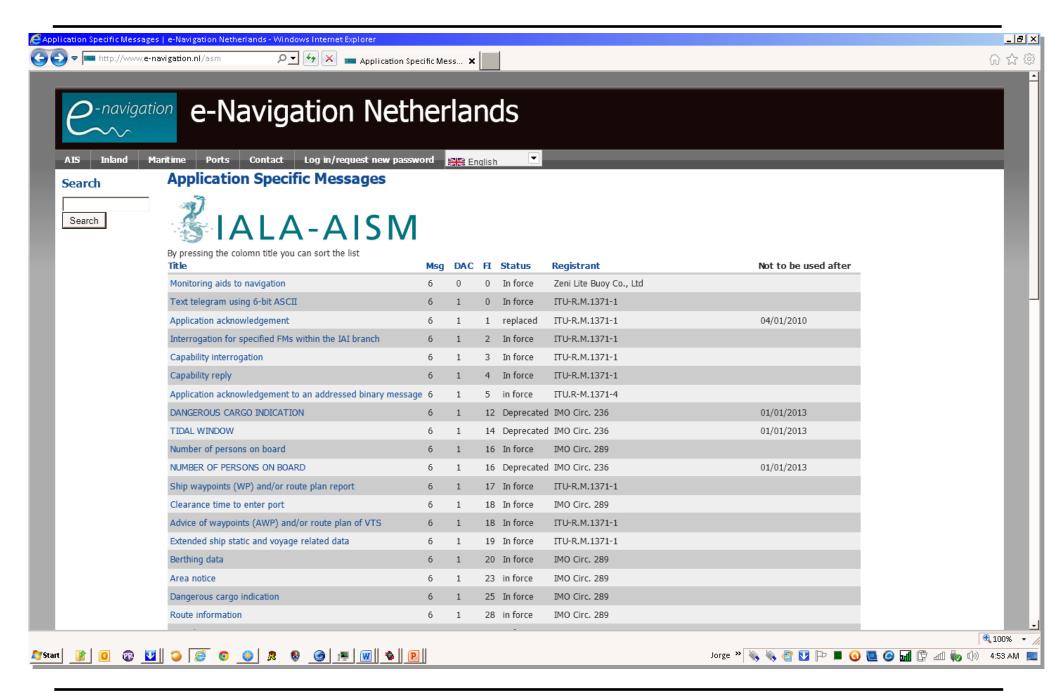
#### **Future ASM developments...**

- International Assoc. of Marine Aids to Navigation & Lighthouse Authorities (IALA) Guidelines & Recommendations
  - E-Navigation Committee, Portrayal Working Group
  - Maintaining an AIS ASM catalogue
- Radio Technical Commission for Maritime Services (RTCM) Standards
  - Special Committee 121 AIS ASM
  - Special Committee 129 Navigation Portrayal
  - Special Committee 109 Electronic Chart Systems
- U.S. Coast Guard
  - To expand our AIS ASM test beds to Louisville KY and with USACE LOMA effort
  - To expand mandatory AIS carriage to all U.S. waters
  - To require ECS and its integration with AIS (including ASM's)
  - To provide NOAA PORTS via NAIS







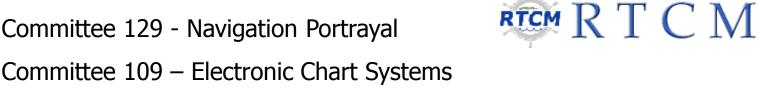






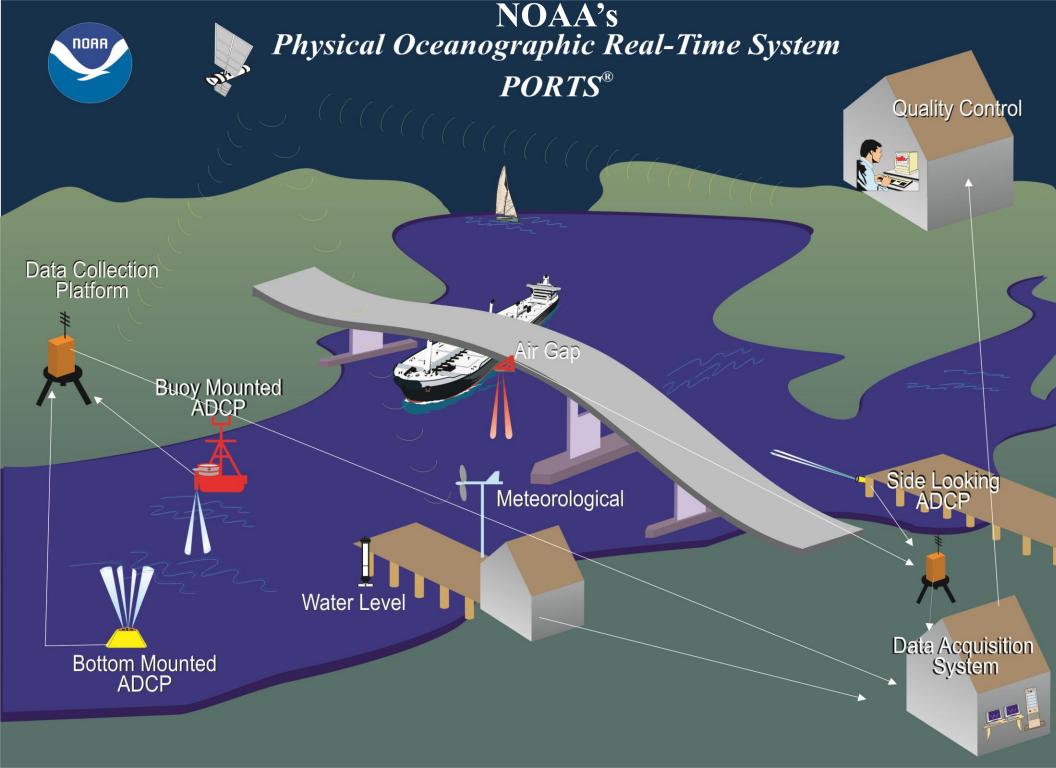
#### **Future ASM developments...**

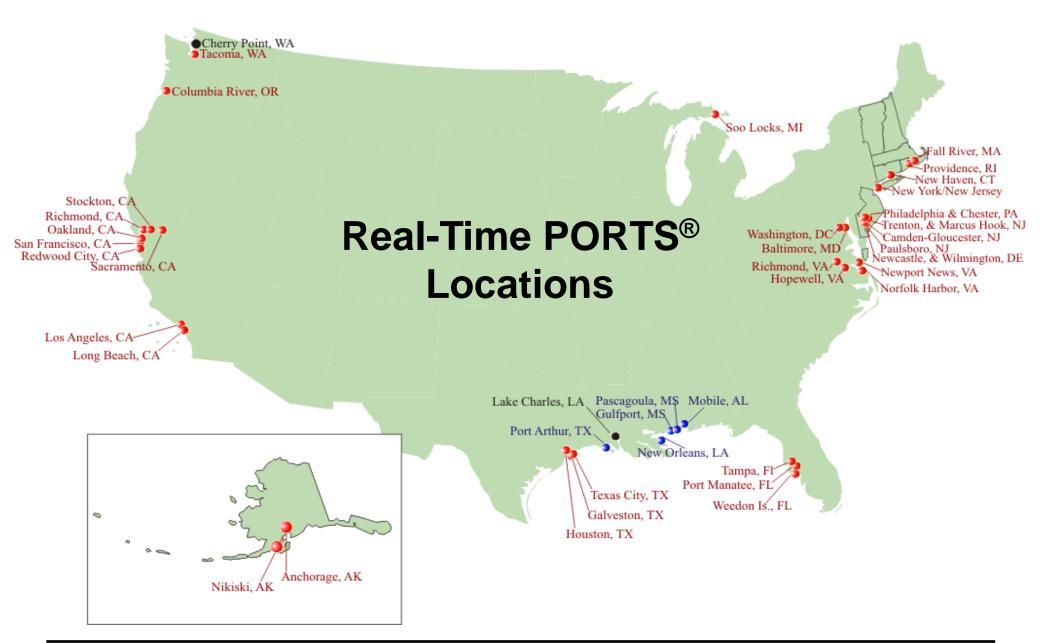
- International Assoc. of Marine Aids to Navigation & Lighthouse Authorities (IALA) Guidelines & Recommendations
  - E-Navigation Committee, Portrayal Working Group
  - Maintaining an AIS ASM catalogue
- Radio Technical Commission for Maritime Services (RTCM) Standards
  - Special Committee 121 AIS ASM
  - Special Committee 129 Navigation Portrayal
  - Special Committee 109 Electronic Chart Systems
- U.S. Coast Guard
  - To expand our AIS ASM test beds to Louisville KY and with USACE LOMA effort
  - To expand mandatory AIS carriage to all U.S. waters
  - To require ECS and its integration with AIS (including ASM's)
  - To provide NOAA PORTS via NAIS





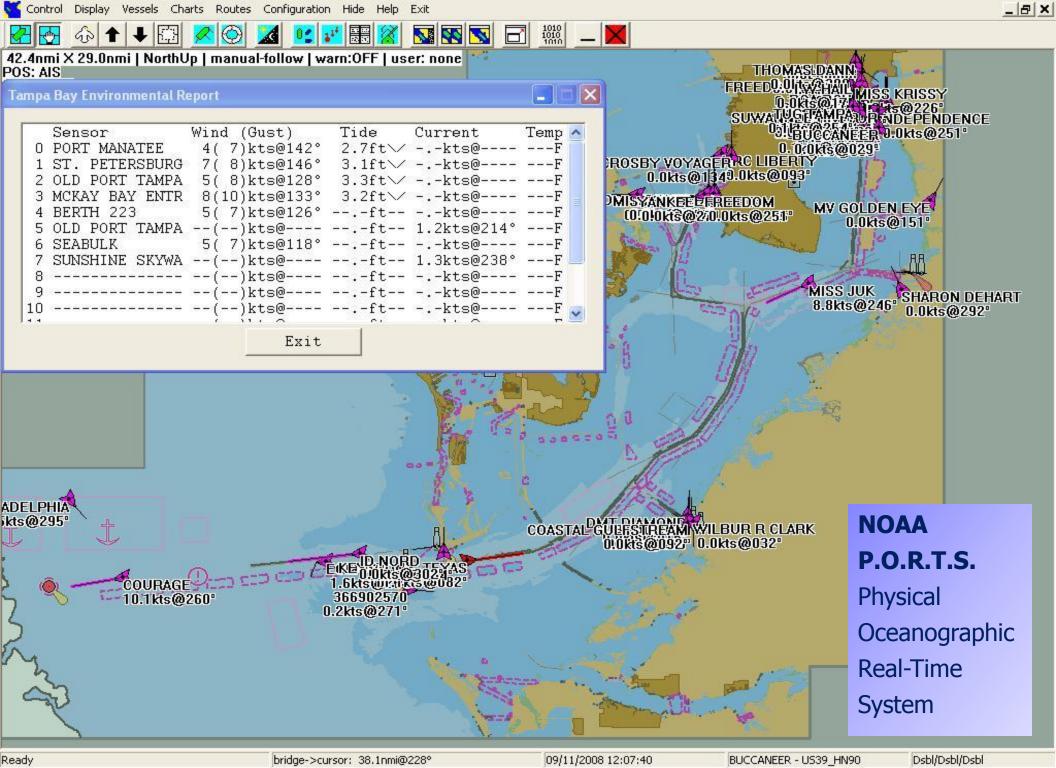


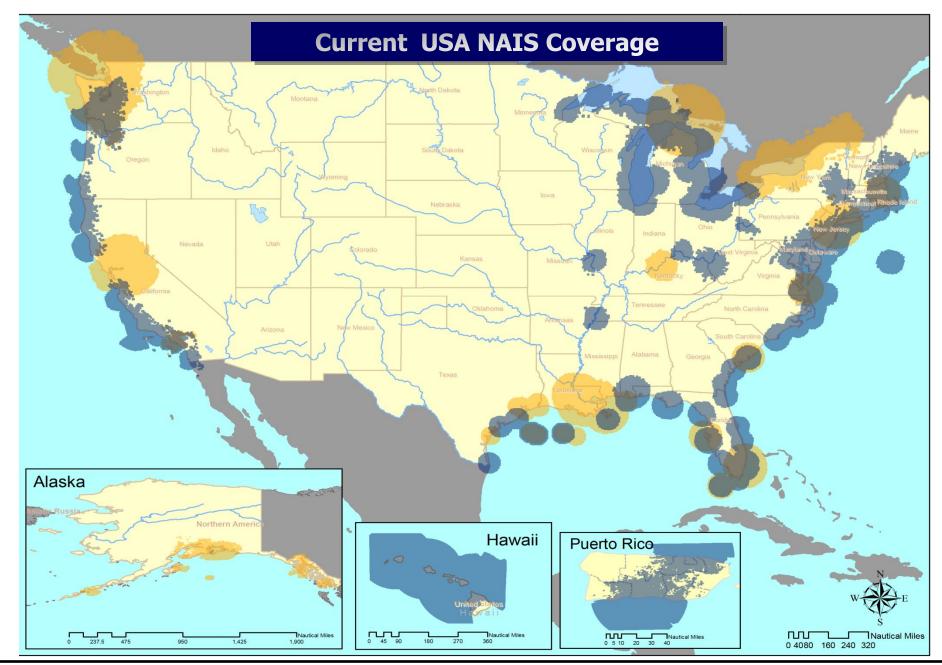








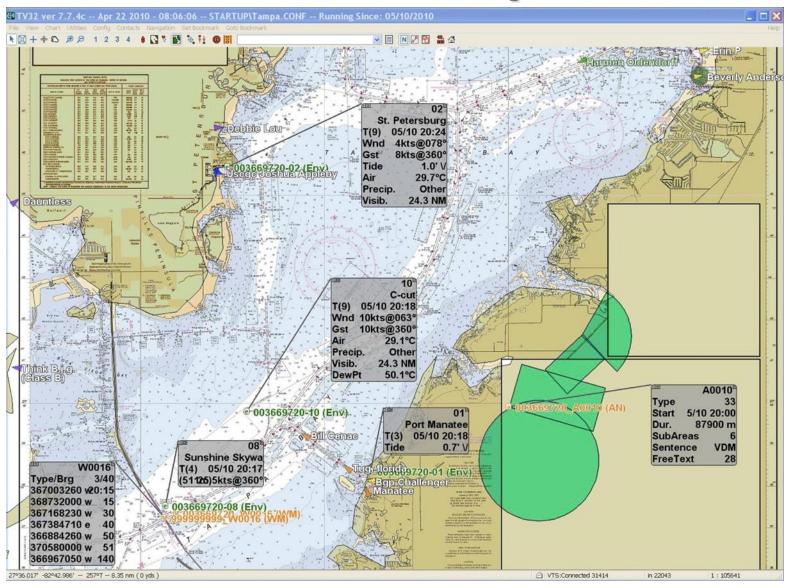








#### **AIS ASM NOAA PORTS Portrayal**

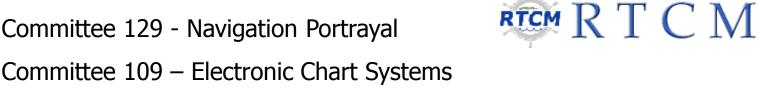






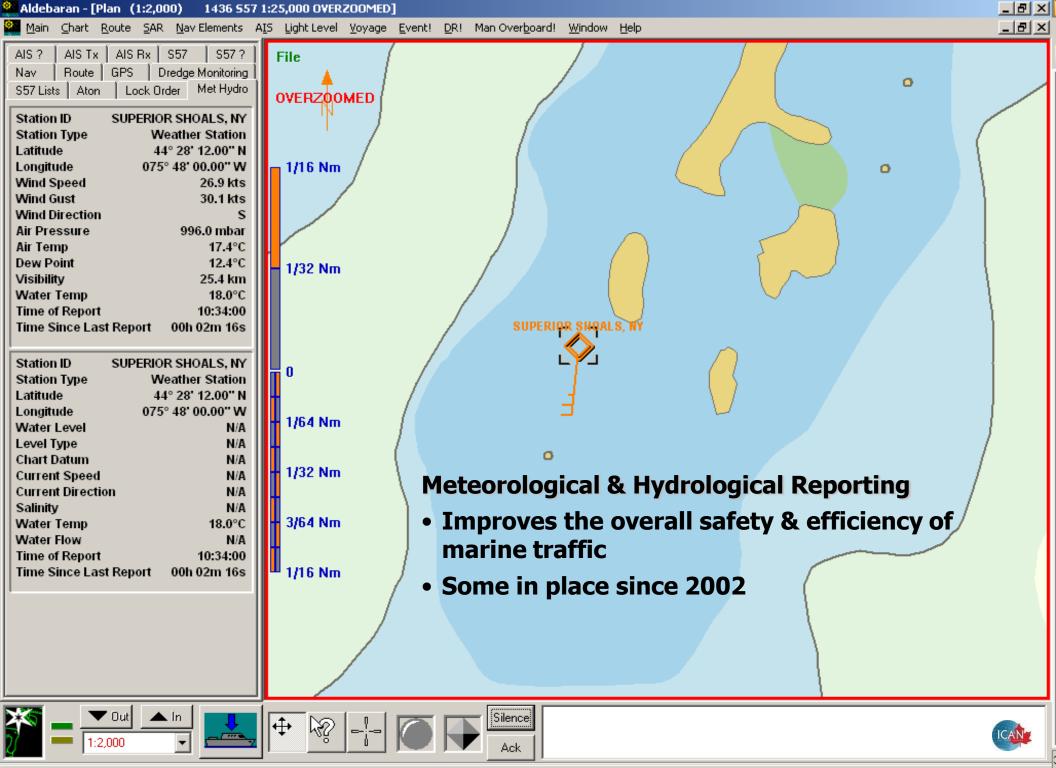
#### **Future ASM developments...**

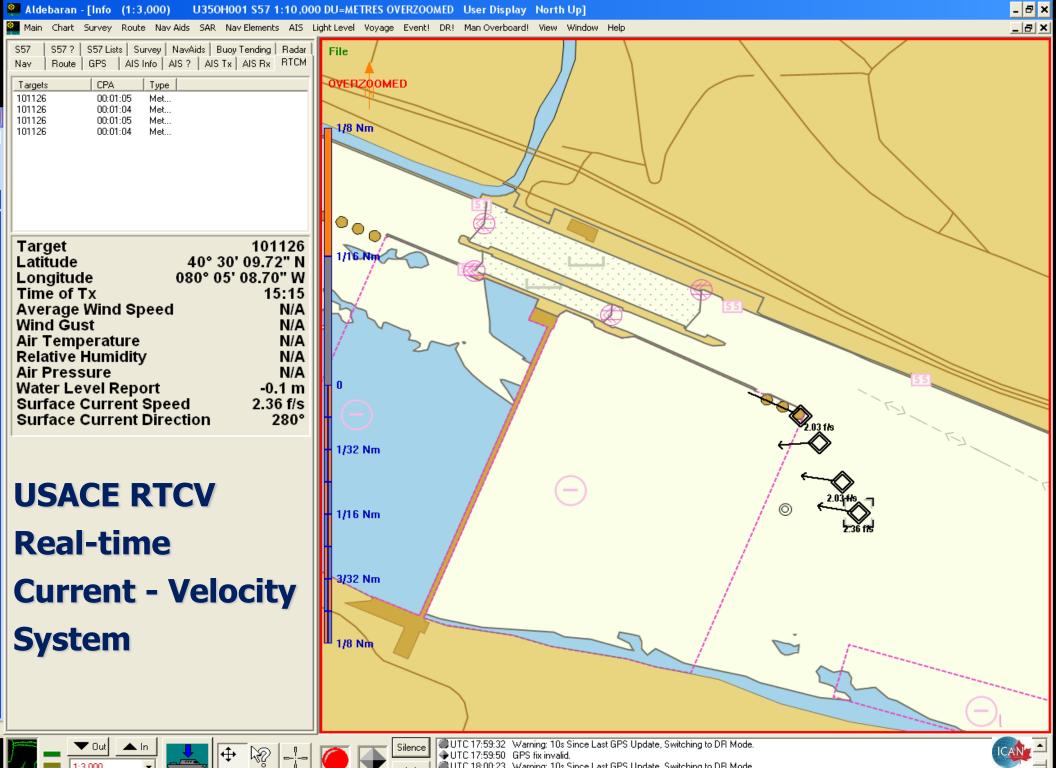
- International Assoc. of Marine Aids to Navigation & Lighthouse Authorities (IALA) Guidelines & Recommendations
  - E-Navigation Committee, Portrayal Working Group
  - Maintaining an AIS ASM catalogue
- Radio Technical Commission for Maritime Services (RTCM) Standards
  - Special Committee 121 AIS ASM
  - Special Committee 129 Navigation Portrayal
  - Special Committee 109 Electronic Chart Systems
- U.S. Coast Guard
  - To expand our AIS ASM test beds to Louisville KY and with USACE LOMA effort
  - To expand mandatory AIS carriage to all U.S. waters
  - To require ECS and its integration with AIS (including ASM's)
  - To provide NOAA PORTS via NAIS

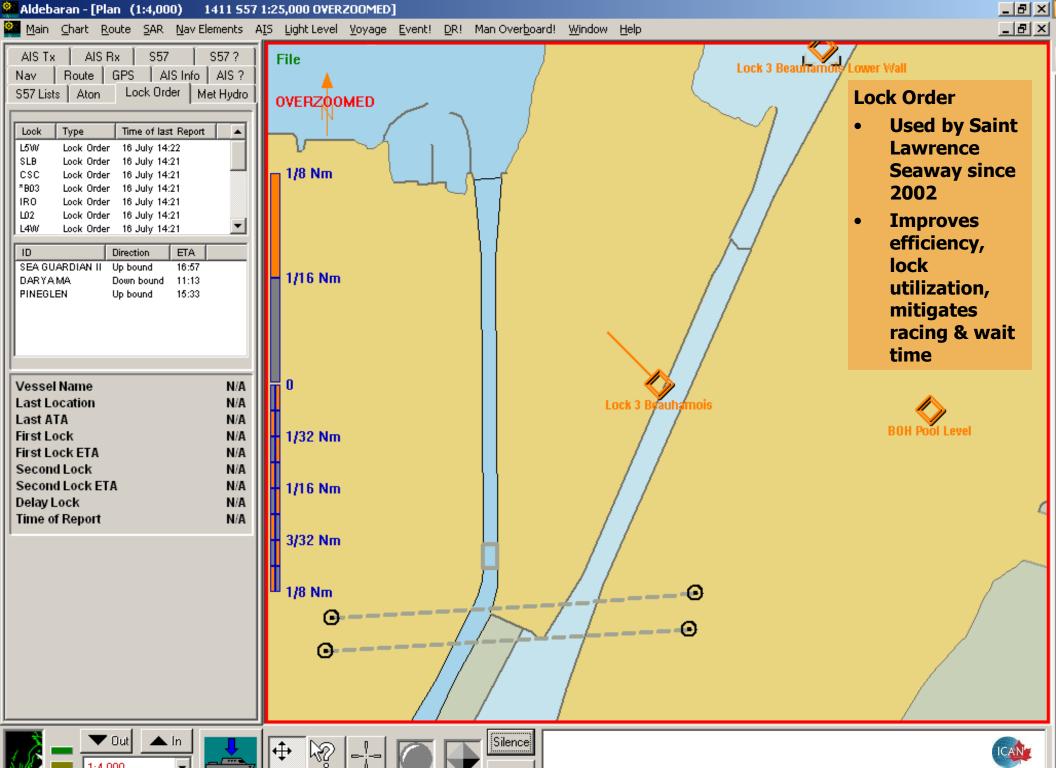


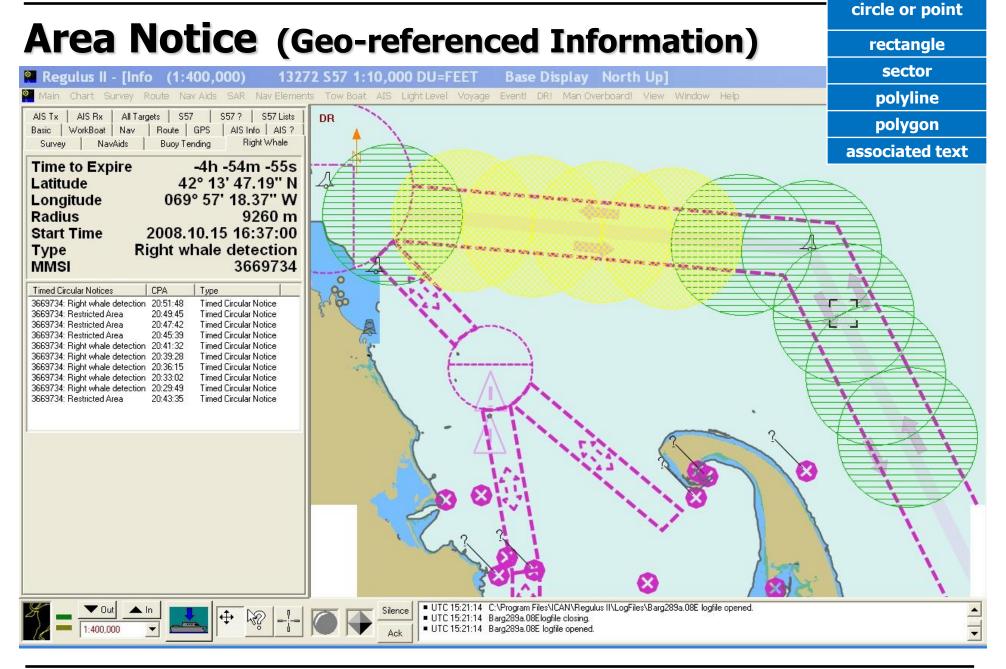
















## **Area Notice Descriptions**

Anchorage Area: Anchorage closed
Anchorage Area: Anchorage open
Anchorage Area: Anchoring prohibited
Anchorage Area: Deep draft anchorage
Anchorage Area: Shallow draft anchorage
Anchorage Area: Vessel transfer operations
Cancellation – cancel area per Msg Linkage ID

Caution Area: Cluster of fishing vessels Caution Area: Derelicts (drifting objects)

Caution Area: Divers down Caution Area: Dredge operations Caution Area: Fairway closed

Caution Area: Fishery – nets in water

Caution Area: Harbour closed Caution Area: Marine event

Caution Area: Marine mammals habitat

Caution Area: Marine mammals in area – reduce speed

Caution Area: Marine mammals in area – report sightings

Caution Area: Marine mammals in area – stay clear

Caution Area: Protected habitat – no fishing or anchoring

Caution Area: Protected habitat – reduce speed Caution Area: Protected habitat – stay clear Caution Area: Risk (define in Associated text field)

Caution Area: Seaplane operations

Caution Area: Survey operations Caution Area: Swim area

Caution Area: Traffic congestion
Caution Area: Underwater operation

Caution Area: Underwater vehicle operation

Chart Feature: Bridge closed Chart Feature: Bridge fully open Chart Feature: Bridge partially open Chart Feature: Channel obstruction

Chart Feature: Reduced vertical clearance Chart Feature: Semi-submerged object

Chart Feature: Shoal area

Chart Feature: Shoal area due east Chart Feature: Shoal area due north Chart Feature: Shoal area due south Chart Feature: Shoal area due west Chart Feature: Submerged object Chart Feature: Sunken yessel

Clearance granted – proceed to berth

Distress Area: Person overboard

Distress Area: Pollution response area

Distress Area: SAR area

Distress Area: Vessel abandoning ship

Distress Area: Vessel collision

Distress Area: Vessel disabled and adrift

Distress Area: Vessel fire/explosion

Distress Area: Vessel flooding Distress Area: Vessel grounding Distress Area: Vessel listing/capsizing

Distress Area: Vessel requests medical assistance

Distress Area: Vessel sinking

Distress Area: Vessel under assault Environmental Caution Area: Heavy icing

Environmental Caution Area: Restricted visibility

Environmental Caution Area: Strong currents Environmental Caution Area: Hazardous sea ice

Environmental Caution Area: High waves

Environmental Caution Area: High wind

Environmental Caution Area: Storm front (line squall)

Environmental Caution Area: Storm warning

Information: Icebreaker waiting area
Information: Location of response units
Information: Pilot boarding position
Information: Places of refuge
Information: Position of icebreakers

Instruction: Await instructions prior to ...
Instruction: Contact Port Administration here
Instruction: Contact VTS at this point/juncture

Instruction: Do not proceed beyond this point/juncture

Other – Define in associated text field

Proceed to this location – await instructions

Report from ship: Icing info

Report from ship: Miscellaneous information

Restricted Area: Active military OPAREA

Restricted Area: Drifting Mines

Restricted Area: Entry approval required prior to transit

Restricted Area: Entry prohibited Restricted Area: Firing – danger area. Restricted Area: Fishing prohibited

Restricted Area: No anchoring.

Rouge or suspicious vessel Route: Alternative route Route: Recommended route

Route: Recommended route through ice

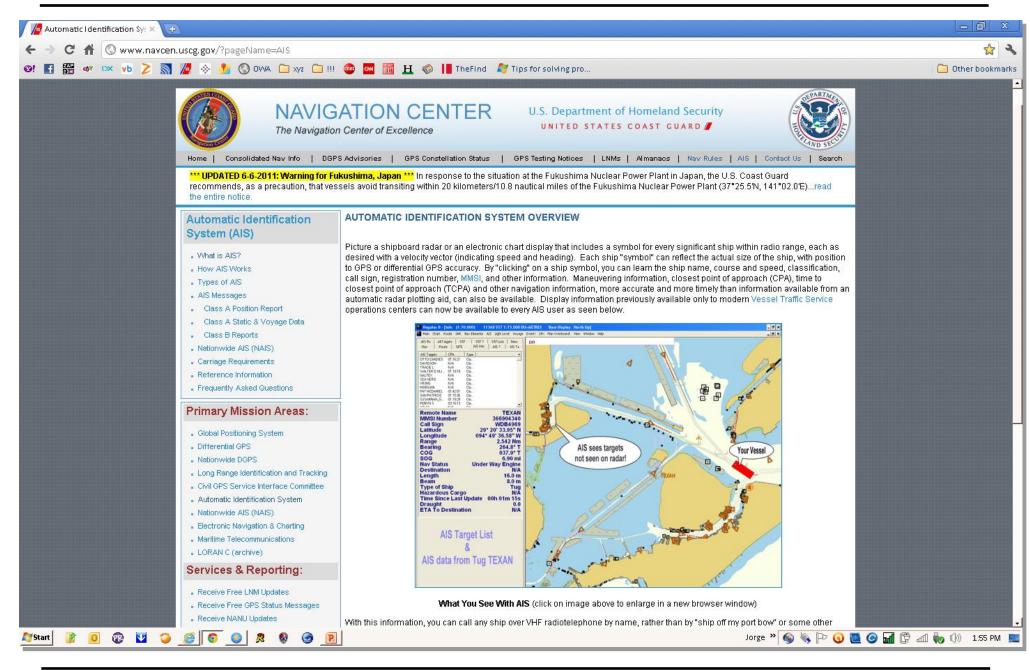
Security Alert – Level 1/2/3

Vessel requesting non-distress assistance

VTS active target

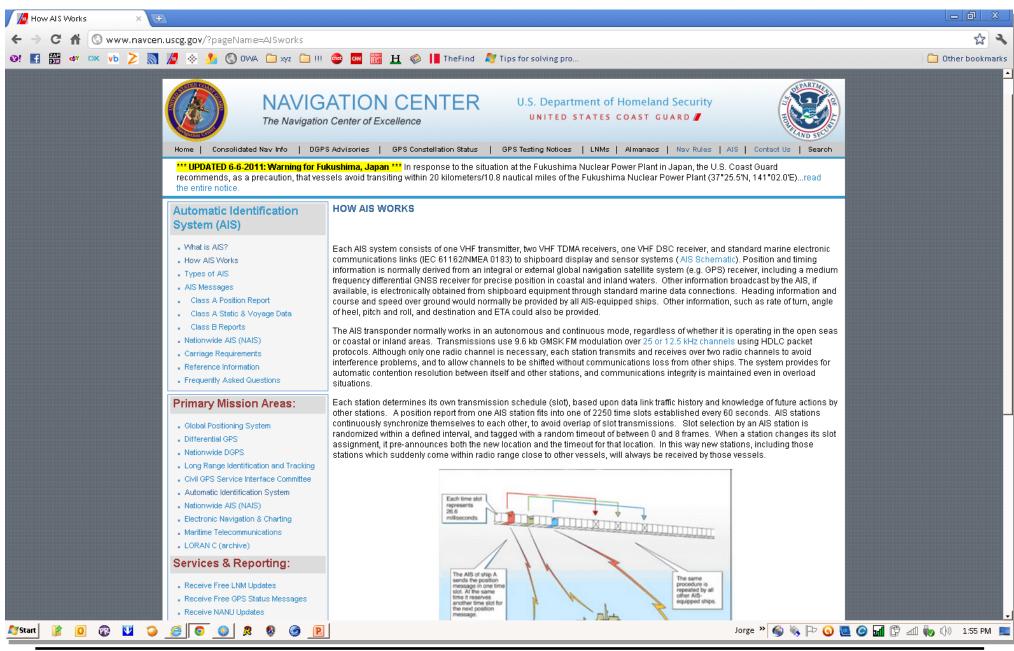






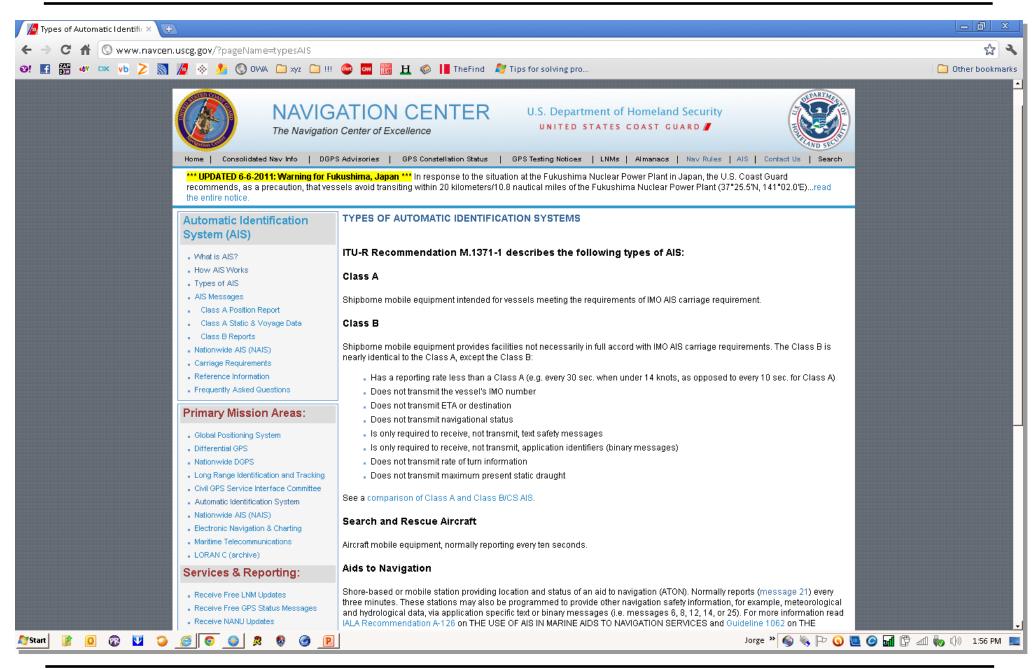












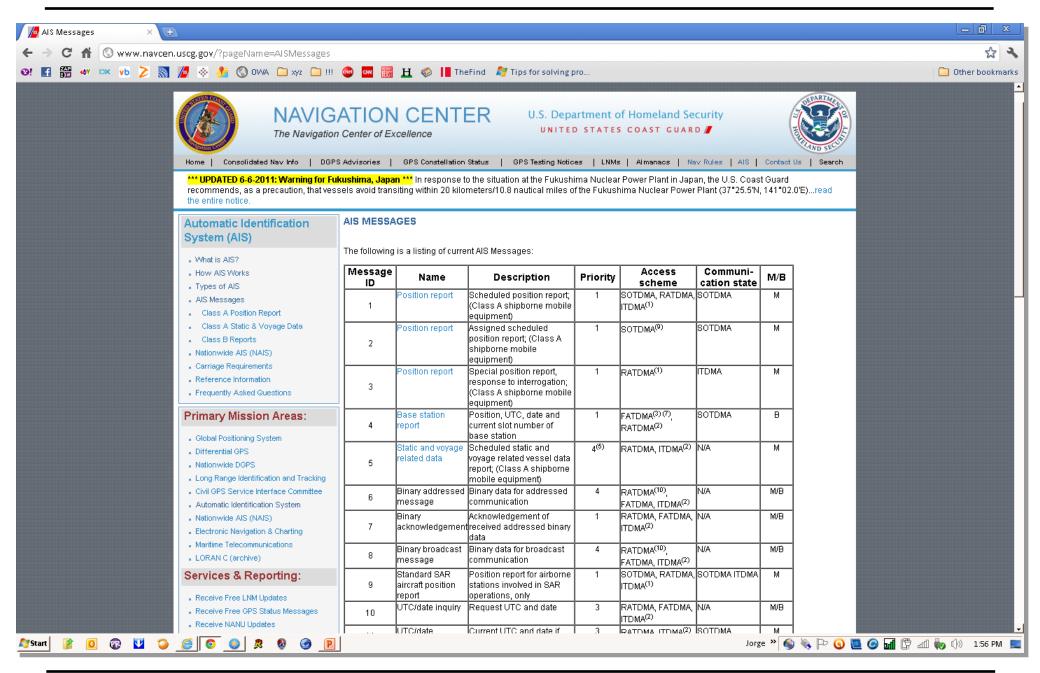




AIS Class A & B Comparison	Class A	Class B/CS	
Transmit Power	2w	I 2.5w / 2w (low-power)	
Reporting Rate	2 - 10 sec - speed and/or course dependent	30 sec. fixed	
Communication Protocol	SO-TDMA Self-Organizing amongst Class A's	CS-TDMA Carrier-Sense(s), polite to Class A's	
Frequency Range & Bandwidth	156.025 -162.025 MHz @ 12/25 kHz DSC Required	161.500 - 162.025 MHz @ 25 kHz DSC & 12.5 kHz Optional	
Position Source	External GNSS & Internal GPS	Internal GPS	
Digital Interfaces	2 Input-Output Ports & Multiple Outputs	Optional	
Display	Multiple Keyboard Display (MKD)	Optional	
Safety Text Messaging	Receive & Transmit	Transmit Optional & Pre-configured	
Data	All No Rate of Turn, Naviga Destination, ETA, Dra		
CG Type-Approvals	22 Models - 16 Manufacturers	8 Models - 8 Manufacturers	
Approximate Cost	\$2,800 - 4,000	\$700 - 1,500	







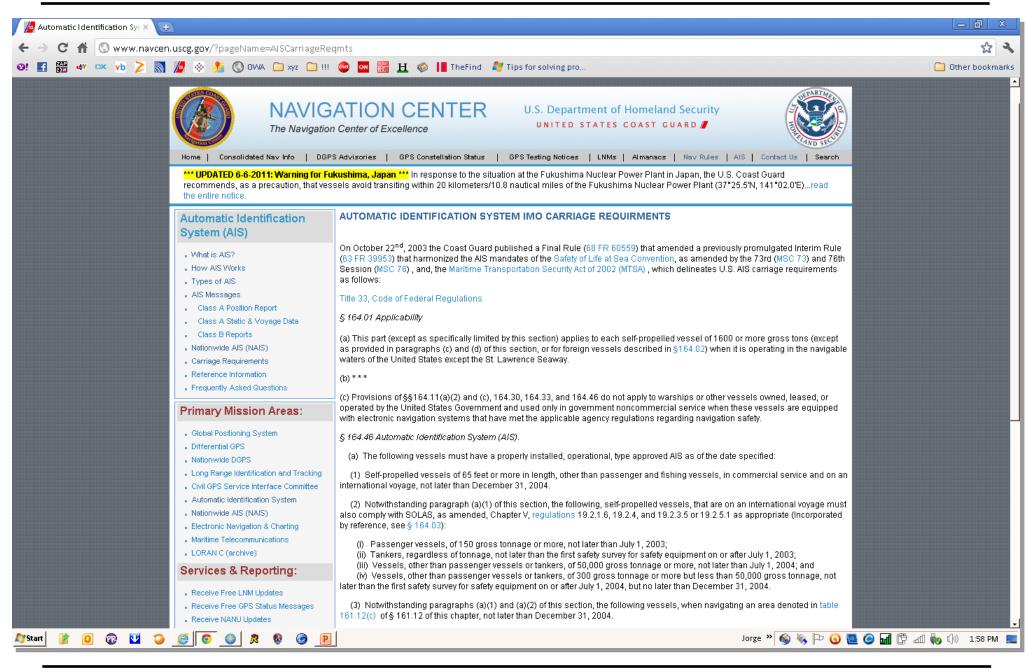






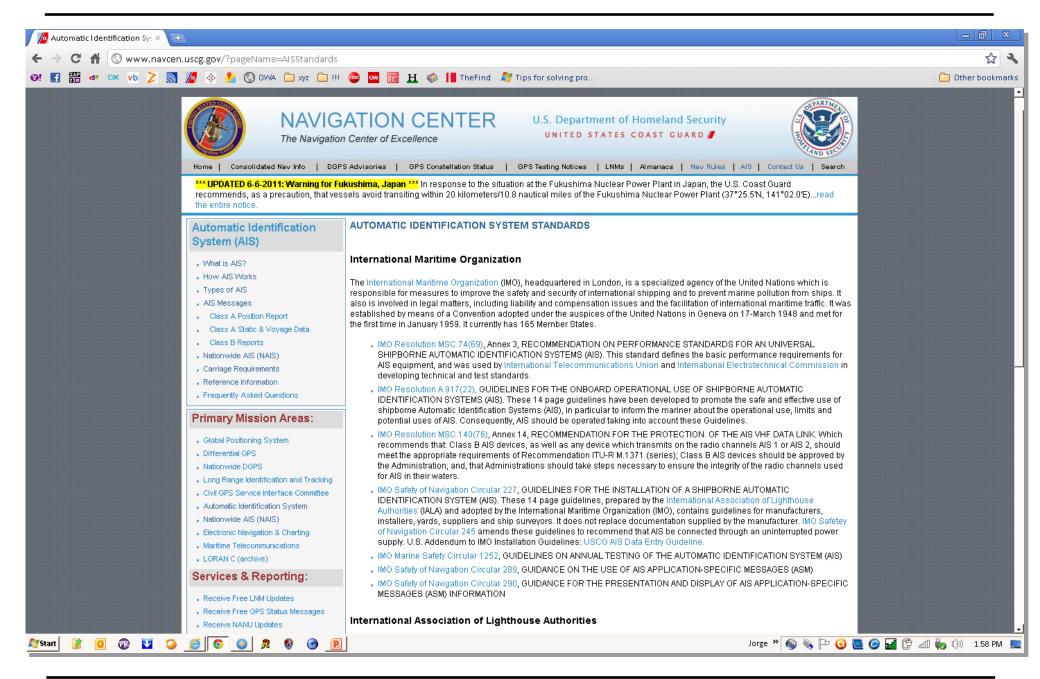






















#### **AUTOMATIC IDENTIFICATION SYSTEM**



AUTOMATIC IDENTIFICATION SYSTEM (AIS) is an invaluable navigation safety radio communication tool. However, its usefulness is undermined by the broadcast of inaccurate, improper or outdated data. Mariners are reminded that U.S. regulation requires that each AIS be maintained in effective operating condition which includes the accurate input and upkeep of all AIS data fields. Failure to do so may subject a vessel to civil penalties of up to \$25,000 per ocurrence. To avoid such penalties AIS user should ensure their system are encoded as follows:

Static Data...should be manually inputted at installation and password protected — know your password, you will need it to rencode your AIS

 Maritime Mobile Service Identifier (MMSI), call sign, and vessel name should mirror the vessel's official radio station license. Vessel names should NOT include precursors or designators, such as: F/V, M/V, MV, OSV, P/V, REC, S/V, TUG, etc. Vessel names of 20 characters or greater should NOT be abbreviated or truncated; previously FCC licensed fleet vessels<sup>1</sup>, which should include the segment of its name that is unique to the vessel, e.g.

MYCOMPANYFLEETBOAT 1234 -> MYCOMPANYFLEETB1234
MYCOMPANYFLEETBOAT ALPHA -> MYCOMPANYFLEET ALPHA

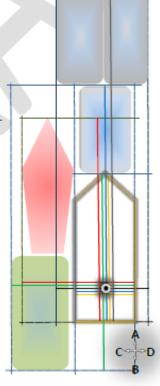
FCC unlincensed pleasure craft should use {@@@@@@@} as their call-sign and their registration number preceded by {USA#} as their name, e.g. USA#WA1234YZ. If unnumbered (e.g. tenders, associated craft), use their parent ship {name followed by a a dash {-} and a numerical designator that distinguishes it amongst others, e.g. PARENTSHIPNAME-#, {n = 1, 2, 3...}; and shall reflect the last 6 digits of the parent MMSI preceded by {A}, e.g. A123456, in their AIS message 24B call-sign parameter.

 IMO Number should reflect the vessel's assigned<sup>2</sup> IMO number or absent an IMO assignment its U.S. documentation number preceded by {100} or {1000}, e.g. 1001234567, 1000123456. Dynamic Data...should be provided via properly installed and integrated external sensors and that are accurate and continuously operational

- Type of positioning source and accuracy should be properly identified, e.g. GPS, surveyed or manual input. This same source should provide: course over ground in 1/10 degrees, speed over ground in 1/10 knots, vessel position in 1/10 seconds of latitude & longitude, and its accuracy (i.e. greater than or less than 10 meters).
- Heading and Rate of Turn as required per SOLAS Chp.
   V Regulation 19.2 for vessels of 150 or 50,000 GT or greater, respectively.

### Voyage Related Data...should be updated expeditiously

- Navigation Status should reflect the current status of the vessel, e.g. at anchor, underway using engines, engaged in fishing, etc. Always remember to change your status from underway to anchored or moored.
- Type of vessel shall reflect a ship type denoted in the accompanying table, which is either manually inputted or menu selection.
- Dimensions are derived from the distance to AIS or vessel's GPS antenna location to 4 cardinal points (ABCD) expressed in meters NOT feet. Also to be used by 'ship types 91' to convey the rectangular proportions of the tow.
- Static Draft should reflect the actual or maximum draft, if the actual draft is unavailable or unknown.
- Estimated Time of Arrival to destination or voyage departure, expressed in Universal Time Coordinated NOT local time.



 Destination should be encoded using UN/LOCODE<sup>3</sup> or US/GUID<sup>4</sup> codes as follows:

UN/LOCODE format is required for International voyages

Origination Country { } Port>Destination { } Port E.g.

BS FPO>US NYC for Rotterdam to New York City

US SFO>CN SHA for San Francisco to Shanghai

US/GUID format is required for U.S. domestic voyages

US+GUID {>/<>/><} GUID, E.g.

US+0YRX>0Z50 for berth to berth voyages

US+0ZJ5 - OVBM for scheduled circuitous voyages, i.e. ferries

US+0ZJ5 00ZJ5 for voyages to nowhere and back

US+0ZJ5><0ZJ5 operating in a confined area, i.e. fleeting area, marina

US@0ZJ5 for anchored, moored, or hovering in one location

If AIS lacks angle brackets (>) substitute with parenthesis; ( ) or () or )(

Safety-Related Text Messaging... should be short, concise, and, only to exchange pertinent navigation safety-related information

- AIS safety-related text messages (SRM) must be in English and solely to exchange or communicate navigation safety information, such as a SECURITE<sup>4</sup> broadcast.
- Although not prohibited, AIS text messaging should NOT be relied upon as the primary means for broadcasting distress or urgent communications, such as a MAYDAY<sup>4</sup> or PAN PAN<sup>4</sup>.
- So as to not congest the AIS network, SRM should be as short and concise. The use of abbreviations and acronyms is highly encouraged. See your Local Notice to Mariners and NOAA Chart No. 1 for a listing of acceptable abbreviations.
- AIS Stations wishing to convey that they are in a test mode may broadcast should periodically broadcast a {TESTING-IGNORE} AIS SRM. Test periods shall not exceed an hour per day.

Embarked U.S. Pilots are highly encouraged to assist mariners in the proper encoding of their AIS

See http://wireless.fcc.gov/services/index.htm {Ship Radio Stations}

<sup>&</sup>lt;sup>2</sup> Obtained at www.imonumbers.lrfairplay.com/datause.aspx

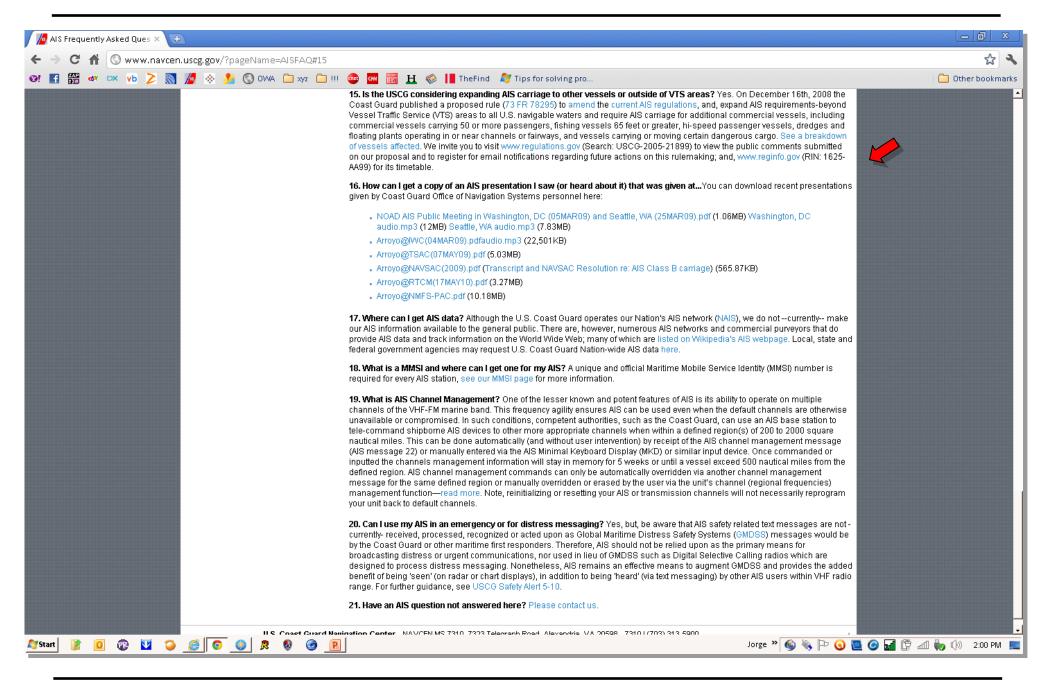
<sup>&</sup>lt;sup>3</sup> United Nations Location Codes (UN/LOCODE) at: www.unece.org/cefact/locode/service/location.htm

<sup>&</sup>lt;sup>4</sup> U.S. Geographically Unique ID (GUID) codes at: www.ndc.iwr.usace.army.mil/search/nav\_unit\_search.aspx

<sup>&</sup>lt;sup>5</sup> See 47 CFR 80.1109, Distress, urgency, and safety communications

Numeric codes for 'Type of Ship and Cargo Type' are composed from the  $1^{st}$  and  $2^{nd}$  digit columns, or, as denoted in columns 3x, 5x, or 9x. The terms used are as defined in IMO SOLAS or 46 U.S.C. 2101. Blue fonts denotes amplifying text not found in the original source (ITU-R M.1371-4)

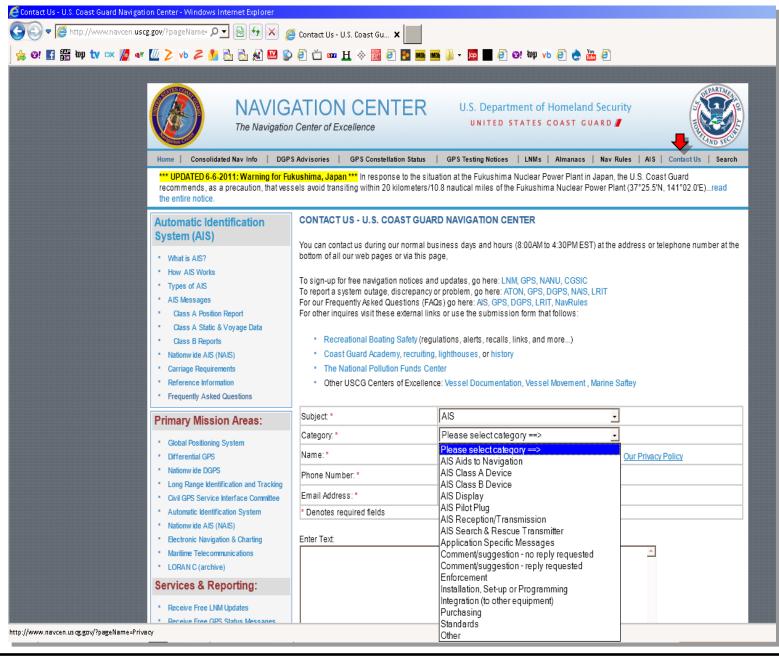
1 <sup>st</sup> digit	2 <sup>nd</sup> digit	Other Vessels Codes (3x)	Special Craft Codes (5x)	USA-Specific Regional Codes (9x)
0 – Not available DO NOT USE	0 – All ships of this type	30 – Fishing *	50 – Pilot vessel	90 – Email cgnav@uscg.mil if you are another type of U.S. vessel not listed in this Table
1 – Reserved for future use DO NOT USE	1 – Carrying DG (Dangerous Goods), HS (Hazardous Substances), or MP (Marine Pollutant), IMO hazard or pollutant category A/X; or more than 150 passengers	31 – Engaged in towing by pulling (not pushing or hauling)	51 – Search and rescue vessels, i.e. USCG boats, USCG Auxiliary, assistance towers	91 – Engaged in towing barges by pushing ahead or hauling alongside (i.e. articulated tug-barges, push-boats, workboats); and, its dimensions (ABCD values) represent the overall rectangular dimensions of the vessel AND its tow*
2 – WIG	2 – Carrying DG, HS, or MP, IMO hazard or pollutant category B/Y; or 50-149 passengers	32 – Engaged in towing by pulling and length of the tow exceeds 200 meters (656 ft)	52 – Tugs–seagoing	92 – Engaged in towing other than barges by pushing ahead or hauling alongside (i.e. articulated tug-barges, push-boats, workboats); and, its dimensions (ABCD values) represent the overall rectangular dimensions of the vessel AND its tow*
3 – Other vessels, per column (3x)	3 – Carrying DG, HS, or MP, IMO hazard or pollutant category C/Z; or 12-49 passengers	33 – Engaged in dredging, salvage, survey or underwater operations*	53 – Port or fish tenders	93 – Light boats (i.e. push-boats or work boats NOT engaged in towing; and, dimensions (ABCD values) solely represent the vessel dimensions*
4 – HSC or ferries	4 – Carrying DG, HS, or MP, IMO hazard or pollutant category D/OS; or less than 12 passengers	34 – Engaged in diving operations	54 – Commercial response vessels with anti- pollution facilities or equipment	94 – Offshore supply vessels (OSV)
5 – Special craft, per column (5x)	5 – Reserved for future use DO NOT USE	35 – Engaged in military operations	55 – Law enforcement vessels, i.e. USCG cutters, marine police	95 – Mobile Offshore Drilling Units (MODU)
6 – Passenger ships or vessels, other than ferries	6 - Reserved for future use DO NOT USE	36 – Sailing vessels*	56 – Spare–for assignments to local vessels work boats operating exclusively within a worksite (e.g. fleeting area, marina)	96 – School, scientific, research or training ships
7 – Cargo ships	7 – Reserved for future use DO NOT USE	37 – Pleasure craft	57 – Spare–for assignments to local vessels involved in a regatta or marine event	97 – Autonomous, remotely-controlled or otherwise self- propelled unmanned craft
8 – Tankers	8 – Reserved for future use DO NOT USE	38 – Reserved for future use DO NOT USE	58 – Medical transports (as defined in the 1949 Geneva Conventions and Addition Protocols) or other public response vessels	98 – Non-self-propelled vessels
9 – Other types of ship, per column (9x)	9 – No additional information	39 – Reserved for future use DO NOT USE	59 – Ships according to RR Resolution No. 18 (Mob-83)	99 – No additional information







# USCG AIS Report Form







## **United States Coast Guard**

### Office of Navigation Systems



Jorge.Arroyo@uscg.mil I-202-372-1563 www.navcen.uscg.gov/enavcgnav@uscg.mil

U.S. Coast Guard Office of Navigation Systems 2100 Second St. SW Washington, DC 20953



